

LicenseType	credentialtype	bondAmtRequired	AllowExaminationFlag	GenerateNewLicenseFeesAtCreation	ParentLicense	HasNewApplicationWorkFlow?	HasNewRenewalWorkFlow?	HasNewTerminationWorkFlow?	HasOtherWorkFlow(s)? (enter 0-N)	Describe other Workflows)	Other Important Notes
Mortgage Banker Exemption	Approval	NULL	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Technology Service Provider	Approval	NULL	Y	N		Yes	NO	NO	1	Serviced Banks Identified and	
State Chartered Bank	Charter	NULL	Y	Y		Yes	NO	NO	15	See attached	
State Chartered Credit Union	Charter	NULL	Y	N		Yes	NO	NO	3	Change of Address; Credit Union Bylaw Amendment; Credit Union Merger	State Banks pay an annual fee, based on their total assets, as reported in their CYQ2 FDIC Call Reports.
State Chartered Savings & Loan	Charter	NULL	Y	N		Yes	NO	NO	No		
State Chartered Trust Company	Charter	NULL	Y	Y		Yes	NO	NO	5	See attached	
Trust Department	Charter	NULL	Y	Y	State Chartered Bank	Yes	NO	NO	No		
Trust Office	Charter	NULL	Y	Y		NO	NO	NO	No		
Federal Savings Bank	Designation	NULL	Y	N		Yes	NO	NO	1	Cross Industry Merger and Acquisition	The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Federal Savings Bank Branch	Designation	NULL	Y	N	Federal Savings Bank	Yes	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Money Transmitter Agent	Designation	NULL	Y	N		Yes	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
National Bank	Designation	NULL	Y	N		Yes	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
National Bank Branch	Designation	NULL	Y	N	National Bank	Yes	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Other State Bank	Designation	NULL	Y	N		NO	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Other State Bank Branch	Designation	NULL	Y	N	Other State Bank	NO	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Other State Bank Loan Production Office	Designation	NULL	Y	N	Other State Bank	NO	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Out of State Trust Company	Designation	NULL	Y	Y		NO	NO	NO	No		The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Board of Director	License	NULL	Y	N	State Chartered Bank	Yes	NO	NO	2	BOD Withdrawn; FinCEN	
Class I Executive Officer's License	License	NULL	Y	Y	State Chartered Bank	Yes	NO	NO	5	See attached	
Class II Executive Officer's License	License	NULL	Y	Y	State Chartered Bank	Yes	NO	NO	6	See attached	
Credit Union Loan Officer	License	NULL	Y	Y	State Chartered Credit Uni	Yes	NO	Yes	No		
Delayed Deposit Service	License	50000	Y	Y							
Delayed Deposit Service Branch	License	NULL	Y	Y	Delayed Deposit Service						
Installment Loan Company	License	NULL	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Installment Loan Branch	License	50000	Y	N, through NMLS	Installment Loan Lender	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Installment Sales Registration	License	50000	Y	N							
Mortgage Banker	License	100000	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Mortgage Banker - Other Trade Name	License	NULL	Y	N, through NMLS	Mortgage Banker	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Mortgage Banker - Other Trade Name (#2-#7)	License	100000	Y	N, through NMLS	Mortgage Banker	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Mortgage Banker Branch	License	NULL	Y	N, through NMLS	Mortgage Banker	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Mortgage Loan Originator	License	NULL	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Sale of Checks/Funds Transmission Branch License	License	100000	Y	N	Sale of Checks/Funds Transmission License						
Sale of Checks/Funds Transmission License	License	100000	Y	N							
Sales Finance Company	License	50000	Y	N							
Sales Finance Company Branch	License	50000	Y	N	Sales Finance Company						
Auditor	Registration	NULL	Y	Y		Yes	NO	NO	1	General Counsel	The department doesn't regulate these contacts, but may relate them to other entities and enforcement actions in the system.
Bank Holding Company	Registration	NULL	Y	N		Yes	NO	NO	No		
Credit Union Branch	Registration	NULL	Y	N	State Chartered Credit Uni	Yes	NO	NO	No		
Loan Production Office	Registration	NULL	N	N	State Chartered Bank	Yes	NO	NO	No		
Mobile Branch Bank	Registration	NULL	Y	Y	State Chartered Bank	Yes	NO	NO	2	Awaiting Open Date; CU/SB Branch Consummated	
Mortgage Banker Branch Registration	Registration	NULL	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Mortgage Banker Exemption	Approval	NULL	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS
Out of State Trust Company Branch	Registration	NULL	Y	Y	Out of State Trust Company	Yes	NO	NO	No		
Personal Loan License	Registration	NULL	Y	Y		Yes	NO	NO	No		
Savings & Loan Branch	Registration	NULL	Y	N		Yes	NO	NO	No		
State Bank Branch	Registration	NULL	Y	N	State Chartered Bank	Yes	NO	NO	5	See attached	
State Bank School Branch	Registration	NULL	Y	Y	State Chartered Bank	Yes	NO	NO	No		
Trust Company Branch	Registration	NULL	Y	Y	State Chartered Trust Com	Yes	NO	NO	2	Awaiting Open Date; Trust Company Branch Consummated	
Pledge of Securities	Security	NULL	N	Y		Yes	NO	NO	No		
Money Transmitter License	License	100000	Y	N, through NMLS		IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS	IN NMLS

<b>State Chartered Bank</b>	
<b>Work Flow Type</b>	<b>Work Flow Definition</b>
Agreement	Letter of Agreement
Application	Branch Merger
Application	Charter Branch Flip
Application	Conversion Application
Application	Merger and Acquisition - Branch Consummation Date
Application	Merger and Acquisition Consummation Date
Application	Move Main Office
Application	State Bank Branch/Relocation Application
Application Withdrawn	Application Withdrawn
Articles of Incorporation	Articles of Incorporation Filing Fee
Awaiting Conversion Date	Awaiting Conversion Date
Awaiting Merger Date	Awaiting Merger Date
Awaiting Open Date	Awaiting Move Date
Branch Relocation - Consummation Date	Branch Relocation Consummation Date
Change of Control	Change of Control
Charter Fee - Banks & Trusts	Charter Fee Bank and Trust
CU/SB Branch Consumated	CU/SB Branch Consumated
Merger and Acquisition	Merger and Acquisition

<b>State Bank Branch</b>	
<b>Work Flow Type</b>	<b>Work Flow Definition</b>
Application	State Bank Branch Application
Application	State Bank Branch/Relocation Application
Application	State Bank Branches Acquired
Application Withdrawn	Application Withdrawn
Awaiting Open Date	Awaiting Open Date
CU/SB Branch Consumated	CU/SB Branch Consumated

<b>State Chartered Trust Company</b>	
<b>Work Flow Type</b>	<b>Work Flow Definition</b>
Articles of Incorporation	Articles of Incorporation Filing Fee
Awaiting Change of Control Date	Awaiting Change of Control Date
Change of Control	Change of Control
Charter Fee - Banks & Trusts	Charter Fee Bank and Trust
Trust Company Charter Application	Post Hearing Steps
Trust Company Charter Application	Pre Hearing Steps
Trust Company Charter Consumated	TC Consummation Date

<b>Class II Executive Officer's License</b>	
<b>Work Flow Type</b>	<b>Work Flow Definition</b>
Application	Application Part 1
EOL - Application for Transfer	EOL Transfer to Another Bank
EOL - Class I to Class II	EOL Class I to Class II
EOL Application Part 2 of 3	R.E. Review
EOL Application Part 3 of 3	Awaiting Approval
EOL/LOL - Mergers	Merger of State Charter Bank or CU
EOL/LOL - Name Change	EOL/LOL Name Change Request
EOL/LOL - Restriction Change/Removal	Change or remove restriction on license
FinCEN Confirmation	FinCEN Confirmation

LicenseType	credentialtype	bondAmtRequired	AllowExaminationFlag	GenerateNewLicenseFeesAtCreation	ParentCredential	HasNewApplicationWorkFlow?	HasNewRenewalWorkFlow?	HasNewTerminationWorkFlow?	HasOtherWorkFlow(s)? (enter 0-N)	Describe other Workflow(s)	Other Important Notes
Business Development Company	Blue Express Security	NULL	1	N							
Common Stock	Blue Express Security	NULL	1	Y							
Debt	Blue Express Security	NULL	1	Y							
Form U1	Blue Express Security	NULL	1	Y							
Limited Partnership	Blue Express Security	NULL	1	Y							
LLC	Blue Express Security	NULL	1	Y							
Miscellaneous	Blue Express Security	NULL	1	Y							
Notice Filing - Closed End Mutual Fund	Blue Express Security	NULL	1	N							
Notice Filing - Open End Mutual Fund	Blue Express Security	NULL	1	N							
Notice Filing - Unit Investment Trust	Blue Express Security	NULL	1	N							
Preferred Stock	Blue Express Security	NULL	1	Y							
Qualification	Blue Express Security	NULL	1	Y							
REIT	Blue Express Security	NULL	1	Y							
8-1108.02(2) - Federal Rule 506	License	NULL	1	Y							
8-1110(B)(b) - Exchange Exemption	License	NULL	1	Y							
8-1111(1)(b) - Existing Shareholder Exemption	License	NULL	1	N							
8-1111(1)(c) - Agricultural Cooperatives	License	NULL	1	N							
8-1111(1)(d) - Federal Rule 505	License	NULL	1	Y							
8-1111(1)(e) - Common Trust Fund Exemption	License	NULL	1	N							
8-1111(2)(a) - Intrastate Offering Exemption	License	NULL	1	Y							
8-1111(2)(b) - Non Profit Pooled Income Fund	License	NULL	1	N							
8-1111(2)(c) - Voluntary Settlement	License	NULL	1	N							
8-1111(2)(d) - Small Intrastate Offering Exemption	License	NULL	1	N							
8-1111(9) - LTD Offering Exemption	License	NULL	1	N							
Broker-Dealer - Branch Office	License	NULL	1	N	Broker-Dealer - Non FINRA						
Broker-Dealer	Registration	NULL	1	N							
Broker-Dealer - Non FINRA	Registration	NULL	1	Y							
Broker-Dealer Agent	Registration	NULL	1	N	Broker-Dealer						
Broker-Dealer Agent - Non FINRA	Registration	NULL	1	N	Broker-Dealer - Non FINRA						
Investment Adviser - SEC	Registration	NULL	1	N							
Investment Adviser - STATE	Registration	NULL	1	N							
Investment Adviser Representative - SEC	Registration	NULL	1	N	Investment Adviser - SEC						
Investment Adviser Representative - STATE	Registration	NULL	1	N	Investment Adviser - STATE						
Issuer-Dealer	Registration	NULL	1	Y							
Issuer-Dealer Agent	Registration	NULL	1	Y	Issuer-Dealer						
8-1108.02(1) Federal ReaA+ Tier 2	Security	NULL	1	N							
Business Opportunities	Security	NULL	1	Y							
Franchise	Security	NULL	1	Y							
Lean Broker	Security	NULL	1	Y							