

Agency 009 - SECRETARY OF STATE

STATUTORY AUTHORITY:

The office of the Secretary of State as a Constitutional Office provides for numerous and varied services to both the public and private sectors as mandated by the Constitution and Statutes of the State of Nebraska. The Secretary of State serves as Chief Elections Officer, Chief Records Officer, Chief Protocol Officer, Business Services Officer and Keeper of the Great Seal. Also, the Secretary of State serves by constitutional or statutory direction as a Secretary of the Pardons Board, Chairman of the NE Real Estate Commission, Chairman of the State Records Board, a permanent member of the Accountability and Disclosure Commission, Chairman of the Collection Agency Review Board, Non voting, Ex Officio member of the NE Brand Committee and Secretary of the State Canvassing Board.

VISION:

The vision of the Secretary of State's office is to meet its statutory duties and responsibilities with prompt and accurate public service, professional and courteous response to citizen inquiries and needs, and constant improvement and upgrading of staff, technologies and services to provide Nebraska citizens with efficient and effective assistance relating to all of its program responsibilities.

MISSION AND PRINCIPLES:

The mission of the constitutional office of the Secretary of State is to effectively operate all agency programs in order to manage the State Election process, provide efficient business services to our customers while expanding and enhancing technology, secure and protect state agency public records, and promote international contacts that promote goodwill and encourage educational, cultural and commercial ties between Nebraska and foreign countries. The complete agency mission statement is presented in the supporting documentation section.

GOALS:

Our goal is to provide fair and reliable legal direction and advice to all political subdivisions involved in local, county, or statewide elections seeking assistance in the performance of their election duties; to insure a modern, fair, reliable, accurate and transparent election system administered by the office; to help insure public access to government documents and information affecting elections, business services, records management, and licensing duties of the office; to cooperate fully and fairly with all branches and agencies of state government needing guidance from the office; and to constantly update and upgrade the programs, statutory authority, technologies and staffing as needed to insure efficient and effective administration of the duties of the office.

Agency 009 - SECRETARY OF STATE

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	777,620	1,496,302	1,620,239	1,562,330	2,038,203	1,591,679
Cash Fund	5,265,832	5,259,505	5,647,992	5,772,614	5,943,292	6,505,872
Federal Fund	9,855	98,300	98,300	98,300	98,300	98,300
Revolving Fund	767,848	1,083,934	1,083,934	1,098,389	1,110,601	1,135,040
Other Fund	0	0	0	0	0	0
Total Operations	6,821,155	7,938,041	8,450,465	8,531,633	9,190,396	9,330,891
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	60,000	60,000	60,000	60,000	60,000
Federal Fund	980	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	980	60,000	60,000	60,000	60,000	60,000
Total Funding						
General Fund	777,620	1,496,302	1,620,239	1,562,330	2,038,203	1,591,679
Cash Fund	5,265,832	5,319,505	5,707,992	5,832,614	6,003,292	6,565,872
Federal Fund	10,835	98,300	98,300	98,300	98,300	98,300
Revolving Fund	767,848	1,083,934	1,083,934	1,098,389	1,110,601	1,135,040
Other Fund	0	0	0	0	0	0
Total Agency	6,822,135	7,998,041	8,510,465	8,591,633	9,250,396	9,390,891

Agency 009 - SECRETARY OF STATE
Program 009 - SALARY-SEC OF STATE

PROGRAM DESCRIPTION:

This program function is to compensate the Secretary of State for duties performed in the form of salary and benefits. This activity is provided for in statute.

PROGRAM OBJECTIVES:

The Secretary of State serves in several capacities including Chief Elections Officer, Chief Records Officer, Chief Protocol Officer, and Keeper of the Great Seal; these duties all relate directly to his direct office responsibilities. In addition, the Secretary of State serves by constitutional or statutory direction as a member of the Pardons Board, Chairman of the Nebraska Real Estate Commission, Chairman of the State Records Board, a permanent member of the Accountability and Disclosure Commission, Chairman of the Collection Agency Review Board, Non Voting, Ex Officio member of the NE Brand Committee, and Secretary of the State Canvassing Board.

PERFORMANCE MEASURES:

To fulfill the duties and responsibilities of the Secretary of State as defined in the Constitution and Statutes of the State of Nebraska.

Agency 009 - SECRETARY OF STATE
Program 009 - SALARY-SEC OF STATE

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	113,731	113,944	113,944	116,154	113,944	117,135
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	113,731	113,944	113,944	116,154	113,944	117,135
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	113,731	113,944	113,944	116,154	113,944	117,135
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	113,731	113,944	113,944	116,154	113,944	117,135

Agency 009 - SECRETARY OF STATE
Program 022 - DEPT ADMINISTRATION

PROGRAM DESCRIPTION:

The Department Administration program provides the overall coordination of agency operations.

PROGRAM OBJECTIVES:

This program administers and processes the office budget and allocation of program funds; provides the management functions to insure legality, efficiency and coordination of office duties; directs the hiring and firing of personnel, the updating of office procedure manuals and organization charts, the assignment of duties to staff members, and the human resources capabilities to insure consistency and fairness in employment policies and employee benefits; administers its protocol duties to provide goodwill outreach to foreign countries to promote international exchanges with Nebraska for educational, cultural and commercial purposes.

PERFORMANCE MEASURES:

Performance measures include the numbers processed of Notary applications, regular certifications, Apostille Certifications, duplications and listings, Notary information requests, Private Detective Agency licenses, Private Detective licenses, Plainclothes Investigator licenses, Truth Examiners licenses, and for Rules and Regulations, the number photocopied or the number of subscribers. Full details on these statistics are included in the supporting information section of the budget.

Agency 009 - SECRETARY OF STATE
Program 022 - DEPT ADMINISTRATION

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	174,291	196,595	196,595	201,045	196,595	204,452
Cash Fund	129,224	233,885	233,885	237,238	233,885	239,461
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	303,515	430,480	430,480	438,283	430,480	443,913
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	174,291	196,595	196,595	201,045	196,595	204,452
Cash Fund	129,224	233,885	233,885	237,238	233,885	239,461
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	303,515	430,480	430,480	438,283	430,480	443,913

Agency 009 - SECRETARY OF STATE
Program 045 - ELECTION ADMINISTRATION

PROGRAM DESCRIPTION:

The Election Division of Secretary of State's Office is responsible with the assistance of local election officials, for the conduct of elections within the state.

PROGRAM OBJECTIVES:

The Election Division of Secretary of State's Office is responsible with the assistance of local election officials, for the conduct of elections within the state. This includes overall responsibility for the registration of voters, candidate filings, ballot design, and compilation of results as well as ensuring compliance with variance federal mandates such as the Voting Rights Act, Americans with Disabilities Act (as it applies to polling places), the National Voter Registration Act and the 2003 Help America Vote Act (HAVA).

Additional responsibilities of the division include the processing of initiative and referenda petitions, and providing information and materials to the public on the various aspects of the election process.

PERFORMANCE MEASURES:

Statistics maintained by the Elections division include, the number of elections filing, training sessions, officials attending training, voter registrations forms processed and petitions processed. The actual and estimated numbers of these activities are included in the supporting information section of this budget request.

Agency 009 - SECRETARY OF STATE
Program 045 - ELECTION ADMINISTRATION

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	350,287	1,040,000	1,163,937	1,094,753	1,581,901	1,116,054
Cash Fund	1,077,268	618,062	548,878	632,611	152,215	643,381
Federal Fund	9,855	98,300	98,300	98,300	98,300	98,300
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	1,437,410	1,756,362	1,811,115	1,825,664	1,832,416	1,857,735
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	60,000	60,000	60,000	60,000	60,000
Federal Fund	980	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	980	60,000	60,000	60,000	60,000	60,000
Total Funding						
General Fund	350,287	1,040,000	1,163,937	1,094,753	1,581,901	1,116,054
Cash Fund	1,077,268	678,062	608,878	692,611	212,215	703,381
Federal Fund	10,835	98,300	98,300	98,300	98,300	98,300
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	1,438,390	1,816,362	1,871,115	1,885,664	1,892,416	1,917,735

Agency 009 - SECRETARY OF STATE
Program 051 - ENF OF STDS-CORPORATIONS

PROGRAM DESCRIPTION:

This program administers, processes and acts as the repository for articles of incorporation, occupation tax reports, certificates of authority for limited partnerships, limited liability companies, limited liability partnerships, trade names, trade marks, and service marks.

PROGRAM OBJECTIVES:

The program's responsibility is to verify, record, and file all Articles of Incorporation, Certificates of Authority, Trade Names, Trade Marks, Service marks, Limited Liability Partnerships, Limited Liability Companies, Local Cooperative Associations and Limited Cooperative Associations of both domestic and foreign entities doing business in the State of Nebraska. These functions include the processing of any amendments or dissolution of any entity, along with revival certificates.

PERFORMANCE MEASURES:

Statistics are maintained to track applications for - Limited Partnerships, Foreign Authority, Articles (nonprofit and domestic), Trade Names, Trade Marks and Service Marks and Professional Corp. Registrations. Statics are also maintained for Change of Agents, Corporate Revival, Proof of Publication, LLC, LLC Revival, LLC's on Record, and Domestic, Foreign and Non Profit Corporations on Record. Detailed statistics are recorded in the Supporting Information section of this request.

Agency 009 - SECRETARY OF STATE
Program 051 - ENF OF STDS-CORPORATIONS

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	0	0	0	0	0	0
Cash Fund	598,136	692,805	692,805	706,521	692,805	717,182
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	598,136	692,805	692,805	706,521	692,805	717,182
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	0	0	0	0	0	0
Cash Fund	598,136	692,805	692,805	706,521	692,805	717,182
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	598,136	692,805	692,805	706,521	692,805	717,182

Agency 009 - SECRETARY OF STATE
Program 053 - ENF OF STDS-COLLEC AGENCIES

PROGRAM DESCRIPTION:

The purpose of this program is to administer the Nebraska Collection Agency Act.

PROGRAM OBJECTIVES:

The Secretary of State is designated as the administrator of the Nebraska Collection Agency Act. These duties include the licensing of collection agencies and solicitors employed by such collection agencies. The license fees paid by the agencies and solicitors support the program through cash fund revenues. The goals of this program are to provide for oversight of competent, trained, and licensed collectors and to protect the citizens from unscrupulous persons. The objectives are to maintain the high standard of professionalism among the collectors, with the ability to censure those collectors who operate outside the requisites of the Act. Statistics are maintained on the number of written complaints received, Collection Agency licenses, and Branch Office licenses.

PERFORMANCE MEASURES:

Statistics related to the Collections program are located in the supporting information section of this request as this section does not allow for enough characters.

Agency 009 - SECRETARY OF STATE
Program 053 - ENF OF STDS-COLLEC AGENCIES

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	0	0	0	0	0	0
Cash Fund	72,528	99,122	207,704	210,228	99,667	103,904
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	72,528	99,122	207,704	210,228	99,667	103,904
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	0	0	0	0	0	0
Cash Fund	72,528	99,122	207,704	210,228	99,667	103,904
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	72,528	99,122	207,704	210,228	99,667	103,904

Agency 009 - SECRETARY OF STATE
Program 086 - ENF OF STDS-RECORDS MGMT

PROGRAM DESCRIPTION:

The Records Management Act of 1961 provides the basis for the operations of this division. The Records Management Division provides "programs for the systematic and centrally-correlated management of state and local records..." to "promote efficiency and economy in the day-to-day recordkeeping activities of state and local governments..." to "facilitate and expedite governmental operations."

PROGRAM OBJECTIVES:

The Records Management Division provides "programs for the systematic and centrally-correlated management of state and local records..." to "promote efficiency and economy in the day-to-day recordkeeping activities of state and local governments..." to "facilitate and expedite governmental operations." The State Records Board administers and contracts with Nebraska Interactive, inc. to provide electronic access to public records. Records Management services related to electronic records preservation, scheduled retention and selective disposition continue to be emerging issues for this Division.

PERFORMANCE MEASURES:

Statistics are maintained for documents microfilmed, records stored (box/month), records destroyed, documents scanned and microfilm lab units produced. The detailed statistics are recorded in the supporting information section of this request.

Agency 009 - SECRETARY OF STATE
Program 086 - ENF OF STDS-RECORDS MGMT

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	139,312	145,763	145,763	150,378	145,763	154,038
Cash Fund	2,468,153	2,377,606	2,686,695	2,688,490	2,686,695	2,689,983
Federal Fund	0	0	0	0	0	0
Revolving Fund	767,848	1,083,934	1,083,934	1,098,389	1,110,601	1,135,040
Other Fund	0	0	0	0	0	0
Total Operations	3,375,313	3,607,303	3,916,392	3,937,257	3,943,059	3,979,061
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	139,312	145,763	145,763	150,378	145,763	154,038
Cash Fund	2,468,153	2,377,606	2,686,695	2,688,490	2,686,695	2,689,983
Federal Fund	0	0	0	0	0	0
Revolving Fund	767,848	1,083,934	1,083,934	1,098,389	1,110,601	1,135,040
Other Fund	0	0	0	0	0	0
Total Program	3,375,313	3,607,303	3,916,392	3,937,257	3,943,059	3,979,061

Agency 009 - SECRETARY OF STATE
Program 089 - COMM CODE CENTRAL FILING

PROGRAM DESCRIPTION:

This office receives, records and files Uniform Commercial Code (UCC) financing statements to make a public record of secured financial transactions between a debtor and a secured party. In addition to the initial filings, amendments, releases, confirmation assignments and terminations of financing statements are processed and filed.

PROGRAM OBJECTIVES:

The Secretary of State is responsible for the filing of financing statements and related documentation pursuant to the Uniform Commercial Code (UCC). Financing statements are filed to make a public record of secured financial transactions between a debtor and a secured party. This security interest will be created in a commercial loan or purchase credit situation. By the public filing of this security interest, subsequent creditors or any interested party will be placed on notice to a prior claim on portions of the debtor's collateral. The financing statements are extremely important in establishing priority among creditors in judicial proceedings, including bankruptcy, to determine rights of conflicting creditors.

PERFORMANCE MEASURES:

To efficiently handle the central filing office operation which includes but is not limited to: fundamental decisions on filing accuracy; recording type of debtor/secured party information into the database; filing documents; preparation of documents for microfilming and scanning; responding to written lien search requests; and all other correspondence/communication regarding perfection/filing information.

With the inception of central filing, this program has seen a tremendous increase in filings. Detailed statistics are shown in the Supporting Information section of this request.

**Agency 009 - SECRETARY OF STATE
Program 089 - COMM CODE CENTRAL FILING**

Financial Data

	FY14 Actual	FY15 Approp	FY16 Request	FY16 Recomm	FY17 Request	FY17 Recomm
Operations Funding						
General Fund	0	0	0	0	0	0
Cash Fund	920,522	1,238,025	1,278,025	1,297,526	2,078,025	2,111,961
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Operations	920,522	1,238,025	1,278,025	1,297,526	2,078,025	2,111,961
Aid Funding						
General Fund	0	0	0	0	0	0
Cash Fund	0	0	0	0	0	0
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Aid Funding	0	0	0	0	0	0
Total Funding						
General Fund	0	0	0	0	0	0
Cash Fund	920,522	1,238,025	1,278,025	1,297,526	2,078,025	2,111,961
Federal Fund	0	0	0	0	0	0
Revolving Fund	0	0	0	0	0	0
Other Fund	0	0	0	0	0	0
Total Program	920,522	1,238,025	1,278,025	1,297,526	2,078,025	2,111,961