

ITEM 6	DATE OF THE NEXT ELECTION FOR WHICH COMMITTEE IS SUPPORTING OR OPPOSING CANDIDATES OR BALLOT QUESTIONS
	_____ MONTH DAY YEAR

ITEM 7	DATE THIS COMMITTEE RAISED, RECEIVED OR EXPENDED MORE THAN \$5,000 IN A CALENDAR YEAR
	_____ MONTH DAY YEAR

ITEM 8 NAME, ADDRESS & TELEPHONE NUMBER OF THE OFFICERS AND MEMBERS OF THE COMMITTEE				
Position	Name	Address (Street Address or Rural Route & City)	Zip Code	Telephone No.
Treasurer				
Assistant Treasurer				

Organizations which are Members (individual members need not be listed)				

ITEM 9	NAME AND ADDRESS OF ALL FINANCIAL INSTITUTIONS WHERE COMMITTEE FUNDS ARE KEPT.
A SEPARATE ACCOUNT MUST BE ESTABLISHED, AND ALL FUNDS RECEIVED AND ALL EXPENDITURES MADE MUST GO THROUGH THIS ACCOUNT.	

NAME (PRIMARY ACCOUNT)	ADDRESS
NAME (SECONDARY ACCOUNT IF ANY)	ADDRESS

I, _____ state that I am the _____ of the
 (Name Of Individual Signing) (Candidate or Treasurer)

_____ committee filing this Statement of Organization of a
 (Name of Committee Filing Statement)

Political Committee, that I am duly authorized to file the same on behalf of said committee, that I have caused the same to be prepared, and know the contents thereof, and to the best of my knowledge, the information contained herein is true, correct and complete.

 (DATE)

 (SIGNATURE)

REMINDER: A \$100 filing fee is required

General Information - Filing Requirements

A. Filing Requirements

- Candidates.** If a candidate for elective office in Nebraska (state, county, or local) **raises, receives or spends more than \$5,000 in a calendar year including his/her personal funds**, he/she must organize a candidate committee and file a Statement of Organization of a Political Committee. A candidate committee may be a one person committee, that person being the candidate.
- Other Committees.** All other political committees which raise or spend more than \$5,000 in a calendar year must file a Statement of Organization of a Political Committee.

ALL POLITICAL COMMITTEES ARE SUBJECT TO FILING PERIODIC CAMPAIGN STATEMENTS (NADC FORM B-1, B-2, OR B-4) UNTIL THE COMMITTEE IS DISSOLVED AND A STATEMENT OF DISSOLUTION OF A POLITICAL COMMITTEE (NADC FORM A-2) IS FILED.

B. Political Committees are Classified and Defined as Follows:

"Committee" is defined as any combination of two or more individuals which receives contributions or makes expenditures of more than \$5,000 in a calendar year for the purpose of influencing or attempting to influence the action of the voters for or against the nomination or election of one or more candidates, or the qualification, passage or defeat of one or more ballot questions. A corporation, labor organization, limited liability company, limited partnership, or industry, trade, or professional association is not a committee if it makes expenditures or provides personal services pursuant to the provisions beginning at Section 49-1469.

1. Candidate Committee

Candidate committee shall mean the committee designated in a candidate's filed Statement of Organization of a Political Committee as that individual's candidate committee. A candidate committee shall be presumed to be under the control and direction of the candidate named in the same Statement of Organization of a Political Committee and may be a one person committee, that person being the candidate.

Note: *The term "candidate" is not limited to individuals who have filed for elective office. An individual who receives a contribution or makes an expenditure, or gives consent for another to do so, with a view of bringing about nomination or election to an elective office is a candidate regardless of whether the specific office the individual will seek is known at the time the contribution is received or the expenditure made.*

2. Ballot Question Committee

Ballot question committee shall mean any committee acting in support of, or in opposition to, the qualification, passage, or defeat of a ballot question, but which does not receive contributions or make expenditures or contributions for the purpose of influencing or attempting to influence the action of voters for or against the nomination or election of a candidate.

Ballot question means any question which is submitted or *which is intended to be submitted* to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.

3. Political Party Committee

A state central, district or county committee of a political party. (A political party means a political party which has a right under law to have the names of its candidates listed on the ballot in a general election.)

4. Independent Committee

- Independent committee shall mean a political committee other than a candidate, ballot question or political party committee.
- A "separate segregated political fund" is an independent committee established and administered by a corporation, labor organization, limited liability company, limited partnership, or industry, trade or professional association.

Note: *Election means a primary, general, special or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate.* Election includes a vote on a ballot question.

C. When to File

All committees must file within 10 days after formation, or within 2 days if formed in the 30 days prior to the election for which the committee exists. A committee is considered formed upon raising, receiving, or spending more than \$5,000 in a calendar year. Changes to any of the information required in the committee's Statement of Organization of a Political Committee are required to be reported by the date the next Campaign Statement is required to be filed.

D. Where to File

All committees shall file the Statement of Organization and \$100 filing fee with the Commission..

E. Special Notice to Candidate Committees:

A candidate committee shall not accept more than \$15,000 in loans prior to or during the first 30 days after the formation of a committee. After the first 30 day period, the committee shall not accept loans which exceed 50% of the total contributions, other than loans, received by the committee as of the date of the receipt of the loan. Existing candidate committees are subject to the 50/50 aggregate ratio of loans to other contributions. Section 49-1446.04, Nebraska Statutes.

Penalties: *Any person who fails to file this statement or amendments thereto as required by law shall pay to the Commission a late filing fee of \$25 for each day the statement remains not filed in violation of law, not to exceed \$750. Committees formed in the 30 days prior to the election for which the committee exists, shall pay to the Commission a late filing fee of \$100 for each day the statement remains not filed in violation of law, not to exceed \$1,000. In addition, persons who fail to file this statement or otherwise do not comply with the reporting provisions of the law are subject to other penalties.*

Clear and legible photocopies of this form as completed may be used for any required filing. Forms may be downloaded from nadc.nol.org.

The provisions of the Nebraska Political Accountability and Disclosure Act may be found in Sections 49-1401 through 49-14,141, Nebraska Statutes. See also Commission Rule 7.

Statutory Authority: Sections 49-1449, 49-1450 and 49-1451, Nebraska Statutes.

*All reference to "Commission" shall mean the Nebraska Accountability and Disclosure Commission.

FILING FEE

A Statement of Organization must be accompanied by a filing fee of \$100. Checks or money orders should be made payable to the State of Nebraska. **A Statement of Organization is not considered filed until both the statement and the filing fee have been received by the Commission.** No filing fee is required when filing an amended Statement of Organization.

Instructions

A. Filing Requirements:

1. All political committees that wish to dissolve must file a Statement of Dissolution of a Political Committee in order to dissolve.
2. A final Campaign Statement must be filed covering the period from the closing date of the last Campaign Statement filed through the date of dissolution. If the committee has never filed a Campaign Statement, the period covered shall begin with the date money was first raised, received or expended.

The final Campaign Statement must disclose the disposition of unexpended funds.

NOTE: A final Campaign Statement is **not** required from a political committee if no financial activity has occurred since the closing date of the last Campaign Statement filed through the date of dissolution and if the last campaign statement disclosed that all debts and obligations have been paid and all funds and assets have been disbursed.

3. All debts and obligations of the committee must be paid prior to dissolution.
4. Unexpended funds may only be transferred to:
 - a) a political party committee;
 - b) a candidate committee
 - c) a tax exempt charitable institution;
 - d) the State of Nebraska or any agency thereof or a fund of any political subdivision of the State subject to the Nebraska Budget Act;
 - e) the contributors thereof, provided that no contributor shall receive more than he or she contributed.
5. Any physical asset of a dissolving committee must be transferred to one or more of the persons set forth above or be sold at a reasonable price with the proceeds transferred as set forth above.
6. No contributions may be received and no expenditures may be made after dissolution.

Special note to Candidate Committees: In addition to the filing requirements listed above, a candidate committee of a person who is an incumbent, eligible for re-election to the same office, may not dissolve unless such person will not seek re-election or is an officeholder eligible for re-election who chooses to dissolve the candidate committee and certifies that:

- a) the committee has no cash balance;
- b) the committee has no outstanding debts;
- c) the committee had no activity on its last annual campaign statement.
- d) the candidate and treasurer agree to accept no contributions and make no expenditures until the calendar year in which the candidate next seeks re-election.

Please note that an officeholder holding the office of Governor, Auditor of Public Accounts, Attorney General, State Treasurer, Secretary of State, or a member of the State Legislature, the Public Service Commission, the Board of Regents of the University of Nebraska, or a member of the State Board of Education is not eligible to dissolve his or her committee.

B. Where to File:

File the Statement of Dissolution with the Nebraska Accountability and Disclosure Commission.

C. Final Dissolution:

The committee is not dissolved until completion of a final review and acceptance by the Commission. The committee will be notified by the Commission when the dissolution process is complete and the committee is considered dissolved.

Statutory Authority: Section 49-1453, Revised Statutes of Nebraska, and Title 4, Chapter 4, Rules and Regulations for Dissolution of Committees.

The following summary sections require the reporting of total receipts and expenditures of the committee and the balance of cash on hand at the beginning and end of the reporting period. All contributions and expenditures must be reported here including in-kind contributions and expenditures, pledges and loans (see definitions on pages 9 and 10). Schedules A through D require additional disclosures as specified for each schedule.

SUMMARY OF RECEIPTS

1. PREVIOUS RECEIPTS REPORTED FOR THIS ELECTION PERIOD (Use previous Line 10, OR for first statement of an election year or an Annual enter zero)			\$0.00
2. CASH CONTRIBUTIONS (A) Candidate's Own Funds.....	\$0.00		
THIS PERIOD FROM: (B) Other Individuals.....	\$0.00		
(C) All Other Sources.....	\$0.00		
3. LOANS RECEIVED THIS PERIOD* (Schedule C, Section 1, Line 2)	\$0.00		
4. INTEREST & OTHER INVESTMENT INCOME RECEIVED THIS PERIOD.....	\$0.00		
TOTAL CASH RECEIVED THIS PERIOD (Line 2(A,B, & C) Plus Lines 3 and 4).....	\$0.00		
5. DEDUCT LOAN REPAYMENTS (Schedule C, Section 1, Line 3).....	\$0.00		
6. NET CASH RECEIVED THIS PERIOD (Total Cash Minus Line 5).....		\$0.00	
7. IN-KIND CONTRIBUTIONS (A) Candidate	\$0.00		
THIS PERIOD (Contributions (B) Other Individuals.....	\$0.00		
Other than cash.) FROM: (C) All Other Sources	\$0.00		
TOTAL IN-KIND CONTRIBUTIONS (To line 15 Below).....		\$0.00	
8. UNPAID PLEDGES (A) Candidate	\$0.00		
THIS PERIOD FROM: (B) Other Individuals	\$0.00		
(C) All Other Sources.....	\$0.00		
TOTAL UNPAID PLEDGES.....		\$0.00	
9. NET RECEIPTS THIS PERIOD (Lines 6 Plus 7 Plus 8).....			\$0.00
10. TOTAL RECEIPTS FOR THE ELECTION PERIOD TO DATE (Line 1 Plus Line 9).....			\$0.00
OTHER INFORMATION			
11. LOANS REPAYED BY THIRD PARTIES THIS PERIOD	\$0.00		
12. LOANS FORGIVEN THIS PERIOD	\$0.00		

SUMMARY OF EXPENDITURES

13. PREVIOUS EXPENDITURES REPORTED FOR THIS ELECTION PERIOD..... (Use previous Line 17, OR for first statement of an election year or an Annual enter zero)			\$0.00
14. CASH EXPENDITURES THIS PERIOD.....	\$0.00		
15. IN-KIND EXPENDITURES THIS PERIOD (From Line 7 Above).....		\$0.00	
16. TOTAL EXPENDITURES THIS PERIOD (Line 14 Plus Line 15).....			\$0.00
17. TOTAL EXPENDITURES FOR THE ELECTION PERIOD TO DATE (Line 13 + Line 16).....			\$0.00

CASH BALANCE SUMMARY

18. CASH ON HAND AT BEGINNING OF PERIOD (From Line 25 of Prior Report).....	\$0.00
19. CASH RECEIVED IN PAYMENT OF PLEDGES REPORTED IN A PRIOR PERIOD.....	\$0.00
20. CASH RECEIPTS THIS PERIOD (From Line 6 Above).....	\$0.00
21. SUBTOTAL (Lines 18 Plus 19 Plus 20).....	\$0.00
22. CASH EXPENDITURES THIS PERIOD (From Line 14 Above).....	\$0.00
23. SUBTOTAL (Line 21 Minus Line 22).....	\$0.00
24. ADJUSTMENTS FOR CASH RECEIPTS (OR EXPENDITURES) NOT INCLUDED ELSEWHERE* ..	\$0.00
25. CASH ON HAND AT CLOSE OF THIS PERIOD (Line 23 Plus or Minus Line 24).....	\$0.00
26. VALUE OF INVESTMENTS HELD BY COMMITTEE AT CLOSE OF PERIOD.....	\$0.00
27. TOTAL CASH AND CASH EQUIVALENTS AT CLOSE OF PERIOD (Lines 25 & 26).....	\$0.00

*NOTE: Line 3 – Note restrictions on loans (See p.9).

Line 24 - Include an explanation of all adjustments. Use Section 2 of Schedule C on page 5 or the space provided on page 8.

SCHEDULE C - Section 1: Schedule of Loans

All loans the committee received or made must be listed. List full name and address of each lender, guarantor and co-signer of loans over \$250. List the full name and address of any third party making payments totaling more than \$250 on a loan. Interest paid by the committee should not be reported on this Schedule but in the Summary of Expenditures and if more than \$250, on Schedule D, Section 1. Campaign purchases made by the candidate and others who will be reimbursed are not loans and should be listed on Schedule D Section 2.

Section 1: Funds Borrowed

Name and Street Address or Rural Route of Lender and any Guarantors and Co-Signers	Date	Unpaid Balance From Prior Period A +	Amount Received This Period B -	Amount Repaid This Period C -	Amount Forgiven This Period D -	Amount Paid by Third Party This Period E =	Unpaid Balance F
							\$0.00
							\$0.00
							\$0.00
1. Unpaid Balance from Last Report		\$0.00					
2. Loans Received (To Summary of Receipts, Line 3)			\$0.00				
3. Loans Repaid (To Summary of Receipts, Line 5)				\$0.00			
4. Amount Forgiven (To Summary of Receipts, Line 12)					\$0.00		
5. Amount Paid by Third Party (To Summary of Receipts, Line 11)						\$0.00	
6. Unpaid Balance							\$0.00

REMINDER: There are limits on the amount of loans which candidate committees may accept. See **SPECIAL NOTE TO CANDIDATE COMMITTEES** on page 9 of the instructions.

SCHEDULE C - Section 2: Miscellaneous Transactions

List all transactions not otherwise reported on Schedules A, B, or D. Include funds transferred back to the official committee depository from savings or investment accounts. Also include funds received from the sale of equipment or other assets of the committee.

Date	Amount	Nature (See Key)	Description
		Choose One Choose One Choose One Choose One Choose One Choose One	

KEY: A - Adjustment to Cash Balance B- Anonymous Contributions* C - Other

*NOTE: Anonymous contributions are those contributions from donors of unknown identity. Contributions of \$50 or less received as a result of fundraising events or from the sale of political merchandise are not considered anonymous contributions.

No committee, or other person, shall accept or expend an anonymous contribution. If an anonymous contribution is received, it shall not knowingly be deposited but shall be given to a tax exempt charitable organization. The charitable organization receiving the contribution shall provide the person with a receipt. (Attach receipt.)

(COMMITTEE NAME)

(DATE OF STATEMENT)

SCHEDULE D - Section 2: Unpaid Bills and Other Accrued Expenses

List all unpaid bills, accounts payable and other payments owed by the committee, i.e., accrued expenses for goods, materials, services or facilities received, for which payment has not been made as of the closing date of this statement. Include any amounts owed to the candidate if the candidate intends to seek reimbursement from the committee. If the exact amount of an unpaid bill is unknown, report a reasonable estimate of the amount owed. Loans are not to be reported in this Schedule. Loans are reportable in Section 1 of Schedule C.

PROVIDE THE ITEMIZED INFORMATION BELOW FOR AMOUNTS KNOWN OR ESTIMATED TO BE MORE THAN \$250.

NOTE: Goods and services for which the committee has obligated itself to pay are reportable unpaid bills or accrued expenses even if payment is not yet due and the specific amount to be paid is not yet known.

Date of Billing or Account Incurred	Name and Street Address or Rural Route of Creditor	Description of Goods, Services or Facilities Received	Amount Unpaid
Total of Itemized Unpaid Bills and Other Accrued Expenses			\$0.00
Total of Unpaid Bills and Other Accrued Expenses of \$250 Or Less			\$0.00
Total of All Unpaid Bills and Other Accrued Expenses			\$0.00

(COMMITTEE NAME)

(DATE OF STATEMENT)

This space is provided for information continued from other schedules or explanation of adjustments and for changes to your committee's Statement of Organization (NADC Form A-1).

VERIFICATIONS (This item must be completed before filing will be accepted.)

TREASURER'S VERIFICATION

I, _____, state that I have used all reasonable
(NAME OF TREASURER)
diligence in the preparation of this Campaign Statement, and to my knowledge it is true, correct and complete.

(DATE)

(SIGNATURE OF TREASURER)

CANDIDATE'S VERIFICATION (For Candidate Committees Only)

I, _____, state that I have used all reasonable
(NAME OF CANDIDATE)
diligence in the preparation of this Campaign Statement, and to my knowledge it is true, correct and complete.

(DATE)

(SIGNATURE OF CANDIDATE)

Filing Requirements

SPECIAL NOTE TO CANDIDATE COMMITTEES

IMPORTANT REMINDER: A candidate committee shall not accept more than \$15,000 in loans prior to or during the first 30 days after the formation of the committee. After the first 30-day period, the committee shall not accept loans which exceed 50% of the total contributions, other than loans, received by the committee as of the date of the receipt of the loan. Existing candidate committees are subject to the 50/50 aggregate ratio of loans to other contributions. Section 49-1446.04 Nebraska Statutes.

RECORD KEEPING: The committee treasurer must keep detailed accounts, records, bills and receipts to substantiate the information reported in Campaign Statements.

Contributions - the committee must keep a record of each person or committee from whom a contribution (cash, in-kind, unpaid pledge, loan, etc.) is received even though such individual identification may not be required in periodic reports.

Expenditures - the committee must keep a record of each person to whom an expenditure (cash, in-kind, unpaid pledge, loan, etc.) is made even though such individual identification may not be required in periodic reports. The law provides certain restrictions on the use of campaign funds. Reference sections 49-1446.01, 49-1446.02, 49-1446.03 and 49-1479, Revised Statutes of Nebraska.

A Treasurer's Guide published by the Nebraska Accountability and Disclosure Commission provides additional information regarding reporting requirements and instructions for completing Campaign Statements. This publication may be obtained at no charge by contacting the Commission office.

WHO MUST FILE:

Committees required to register with the Commission must make all required filings. If your committee is not required to register with the Commission, Election and Annual Campaign Statements are not required. Filing NADC Form A-1 (Statement of Organization of a Political Committee) registers your committee. *Complete the checklist on Page 10 to determine your filing requirements.*

Election Campaign Statements must be filed by all political committees which support or oppose one or more candidates or ballot questions.

Annual Campaign Statements must be filed by all political committees on or before January 31 of each year except the year following an election year in which the committee filed Campaign Statements. The closing date for annual Campaign Statements is December 31. See also PERIOD COVERED BY REPORT.

WHEN TO FILE

Election Campaign Statements

Primary Election	Closing Date	Due Date
1 st statement	35 days before Primary	30 days before Primary
2 nd statement	15 days before Primary	10 days before Primary
Post election statement	35 days after Primary	40 days after Primary
General Election	Closing Date	Due Date
1 st statement	35 days before General	30 days before General
2 nd statement	15 days before General	10 days before General
Post election Statement	December 31 of election year	70 days after General

Annual Campaign Statements	December 31 of each year	January 31 of following year.
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Check the Commission's bulletins, publications and website for specific reporting dates.

Additional Campaign Statement Filing Requirements for State-wide Ballot Question Committees - If your ballot question committee is supporting or opposing a state-wide petition effort pursuant to the provisions of Chapter 32 of the Revised Statutes of Nebraska, the following additional Campaign Statements must be filed.

On the last day of the calendar month in which the petition is filed with the Secretary of State.

On the last day of each month after the month in which the petition is filed with the Secretary of State, except for the month during which the signed petitions must be filed.

The 30th day after the deadline for filing petitions with the Secretary of State.

The closing date for each report is five days before the date the report is due.

NOTE: Lincoln and Omaha City Elections

Some of the reporting periods and due dates specified in this section do not apply to city elections that occur in odd numbered years in Lincoln and Omaha. Candidates and committees should consult special Commission bulletins, publications or the website for the reporting periods and due dates for these elections.

NOTE: Special Elections

Committees supporting or opposing candidates or ballot questions in special elections should contact the Commission for those special reporting periods and due dates.

PERIOD COVERED BY REPORT

The reporting period begins with the day after the closing date of the committee's last Campaign Statement and ends with the closing date of the Campaign Statement due. If the committee has never filed a Campaign Statement before, begin with the date money was first raised, received, or expended.

WHERE TO FILE

Candidate Committees: File with the Commission.

Ballot Question Committees: File with the Commission.

OTHER FILING REQUIREMENTS

Statement of Organization of a Political Committee (NADC FORM A-1): Filing NADC Form A-1 registers your committee. See Filing Requirement Checklists, Page 10, for further information.

Changes to any of the information required in the committee's Statement of Organization are required to be reported by the date your next Campaign Statement is required to be filed. Use the space provided on page 8 to report any changes.

Report of Late Contribution (NADC Form B-5): Any contribution of \$1,000 or more received during the 14 days immediately preceding the election and not reported on the pre-election Campaign Statement must be reported on NADC Form B-5 (Report of Late Contribution) within 2 days of receipt. All late contributions must also be reported on the post-election Campaign Statement.

Report of Earmarked Contribution (NADC Form B-3): Any registered committee which acts as an intermediary or agent in transferring an earmarked contribution must report the contribution on NADC Form B-3 (Report of Earmarked Contribution) by the date of the next Campaign Statement required to be filed after the intermediary/agent committee receives the earmarked contribution or pledge of contribution. Attach Form B-3 to this Campaign Statement.

Any unregistered committee which acts as an intermediary or agent in transferring an earmarked contribution directly or indirectly from a contributor to the recipient candidate or political committee must report the contribution on NADC Form B-3 (Report of Earmarked Contribution). The report must be filed and the contribution forwarded to the recipient candidate or committee within 10 days of receipt by the intermediary/agent.

Filing Requirements Checklist

ELECTION CAMPAIGN STATEMENTS:

To use this checklist, first determine whether your committee has registered with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee). Then answer the following questions. Unregistered committees begin with question 1. Registered committees begin with question 3. If you don't know, or have any doubts about the status of your committee, contact the Commission office.

A **registered committee** has a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission.

An **unregistered committee** does not have a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission.

Incumbents: Committees of incumbent officeholders are not permitted to dissolve unless certain criteria are met. If you are an incumbent and you had a registered committee when elected, you probably still have a registered committee.

Unregistered Committees (No Form A-1 filed) start here

1. Have you raised or expended more than \$5,000 in a calendar year, including, in the case of a candidate committee, any personal funds of the candidate?

- Yes. Continue with question 2.
 No. Stop here. You are not required to register your committee with the Commission until you have raised or expended more than \$5,000 in a calendar year.

2. Have you registered your committee with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee)?

- Yes. Continue with question 3.
 No. File Form A-1 with the NADC and continue with question 3.

Registered Committees Start Here

3. Is your committee supporting or opposing any candidate(s) or ballot question(s) in this election?

- Yes. You must file Election Campaign Statements. See **WHEN TO FILE**.
 No. You do not need to file Election Campaign Statements. You will need to file an Annual Campaign Statement for the calendar year. See **ANNUAL CAMPAIGN STATEMENTS**.

ANNUAL CAMPAIGN STATEMENTS

To determine whether your committee must file an Annual Campaign Statement, answer the following question:

1. Did your committee file Election Campaign Statements in connection with an election in the calendar year which precedes the January 31 due date for the Annual Statement?

- Yes. Stop here. No Annual Campaign Statement is required.
 No. You must file an Annual Campaign Statement on or before January 31. See **PERIOD COVERED BY REPORT**.

Definitions

Contribution: A payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loan, donation, pledge or promise of money or anything of ascertainable monetary value to a person made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage, or defeat of a ballot question. (An offer or tender of a contribution is not a contribution if expressly and unconditionally rejected or returned.) Contribution shall include the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events; and an individual's own money or property other than the individual's homestead used on behalf of that individual's candidacy; and the granting of discounts or rebates by broadcast media and newspapers not extended on an equal basis to all candidates for the same office.

In-kind Contributions: Goods, materials, services and other things of value provided to the committee (such as use of office space, printing, or telephone bank) or payments made by others for expenses incurred by or on behalf of the committee.

Pledge: An oral or written promise of a future payment of money or anything of ascertainable monetary value.

Anonymous Contribution: Contributions from donors of unknown identity, with the exception of contributions which are \$50 or less received as a result of fundraising events or from the sale of political merchandise.

Earmarked Contribution: A designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written, which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Contribution shall not include the Following: (a) Volunteer personal services provided without compensation, or payment of costs incurred of less than \$250 in a calendar year by an individual for personal travel expenses, if the costs are voluntarily incurred without any understanding or agreement that the costs shall be, directly or indirectly, repaid; (b)

Amounts received pursuant to a pledge or promise to the extent that the amounts were previously reported as a contribution; (c) Food and beverages, not to exceed \$50 in value during a calendar year, which are donated by an individual and for which reimbursement is not given.

Ballot question: means any question which is submitted or which is intended to be submitted to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.

Election: A primary, general, special, or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate. *Election includes a vote on a ballot question.*

Expenditure: A payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage, or defeat of a ballot question. (An offer or tender of an expenditure is not an expenditure if expressly and unconditionally rejected or returned). Expenditure shall include a contribution or a transfer of anything of ascertainable monetary value for purposes of influencing the nomination or election of any candidate or the qualification, passage, or defeat of a ballot question.

Business: Any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, organization, self-employed individual, holding company, joint-stock company, receivership, trust, activity or entity.

All reference to "Commission" shall mean the Nebraska Accountability and Disclosure Commission.

Statutory Authority: Section 49-1455, Nebraska Statutes.

Instructions for Completing Campaign Statements

The following items are noted to assist you in proper completion of your Campaign Statement. See also the **Candidate Committee Treasurer's Guide** for completed sample forms and details. If you would like assistance in completing your statement, please contact the Commission Office.

ITEM 4 - REPORTING PERIOD (Page 1)

The beginning date depends on whether you have previously filed Campaign Statements.

- Committees that have previously filed Campaign Statement(s) begin with the day following the close-out date of the last Campaign Statement filed.
- Committees that have **not** previously filed a Campaign Statement begin with the day money was **first raised, received, or spent**.

The period **ending** date is 5 days before the day the statement is due, except for the post election statement for the General Election which has a closing date of December 31.

NOTE: Lincoln and Omaha City Elections and Special Elections

Some of the reporting periods specified in these instructions do not apply to city elections that occur in odd numbered years in Lincoln and Omaha. Candidates and committees should consult Commission bulletins, publications or the website for reporting periods for those elections. Committees supporting or opposing candidates or ballot questions in special elections should contact the Commission for those special reporting periods.

ITEM 5 - NATURE OF COMMITTEE (Page 1)

Candidate Committees should be sure to include the District or Subdivision number, if any, under **Office Sought**.

SUMMARY OF RECEIPTS AND SUMMARY OF EXPENDITURES

Election Campaign Statements are cumulative for the election period including both the Primary and General Elections. **Receipts** and **Expenditures** begin with **zero** on the 1st Primary Election Statement. **DO NOT** carry over Receipts and Expenditures from an annual Campaign Statement to the 1st Primary Campaign Statement. Annual Campaign Statements should not carry forward receipts and expenditures from Election Campaign Statements.

SUMMARY OF RECEIPTS (Page 2)

Line 1: "Previous Receipts Reported for this Election."

- 1st Primary Statement: Line 1 should be **zero**.
- 2nd and Post Primary and 1st, 2nd and Post General Statements: Line 1 should be the amount from "Total Receipts Election to Date" (Line 10) of the **previous** Campaign Statement filed by the committee.
- Annual Statements: Line 1 should be **zero**.

NOTE: Never carry a previous **Cash Balance** over to any line in the Summary of Receipts.

SUMMARY OF EXPENDITURES (Page 2)

Line 13: "Previous Expenditures Reported for this Election:"

- 1st Primary Statement:: Line 13 should be **zero**.
- 2nd and Post Primary and 1st, 2nd and Post General Statements: Line 13 should be the amount from "Total Expenditures Election to Date" (Line 17) of the **previous** Campaign Statement.
- Annual Statements: Line 13 should be **zero**.

CASH BALANCE SUMMARY (Page 2)

Line 18: "Cash on Hand at Beginning of Period:"

- If the committee has **not** previously filed a Campaign Statement, begin with **zero**.
- If the committee has previously filed a Campaign Statement, carry over the balance of "Cash on Hand at Close of this Period" from the **previous** Statement.

SCHEDULES A & B - CONTRIBUTIONS OF MORE THAN \$250 RECEIVED DURING THIS ELECTION PERIOD (Pages 3 & 4)

- Add together all contributions including in-kind, received from the same donor during the Primary and General elections. If the total received from a donor during the election period is more than \$250, report **all** the contributions from that donor on the statement due.
- All contributors shown on the 1st Election Statement must be repeated on the 2nd Election Statement, and all on the 2nd must be repeated on the Post Election Statement, **even if** no new contributions are received.
- Carry over contributions shown on the Post-Primary Statement to the 1st General Statement. Annual Campaign Statements should not include contributors listed on election Campaign Statements.

IN-KIND CONTRIBUTIONS/EXPENDITURES

An in-kind contribution is also considered an in-kind expenditure of the committee just as if the committee had received money and used it to purchase the goods or services donated to it.

- Report in-kind contributions worth more than \$250 on Schedule A or B. Describe the goods or services received on Schedule A or B, on Schedule D Section 1 as an in-kind expenditure, or in the space provided on page 8.
- Report in-kind expenditures worth more than \$250 to **the same vendor** on Schedule D Section 1. Example: Several people, each spending \$250 or less, split the bill for a fundraiser which was more than \$250. Although the committee would not list the people on Schedule D, the committee must report an in-kind expenditure to the club or person who received payment with the description that it was paid for by the several people.

SCHEDULE C - LOANS (Page 5)

Outstanding loans should be carried over from Campaign Statement to Campaign Statement until the loans are shown as paid or forgiven. See **Candidate Committee Treasurer's Guide**.

SCHEDULE D, SECTION 1 - EXPENDITURES OF MORE THAN \$250 (Page 6)

- List and **describe** all expenditures of more than \$250 - a label is not enough. "Rent" is a label - a description would include what was rented, its use, and the time period involved. Descriptions of "travel" expenditures must include the allowable purposes of the travel. Documentation including the dates of the travel, departure and destination points must be maintained by the committee.

SCHEDULE D, SECTION 2 - UNPAID BILLS AND OTHER ACCRUED EXPENSES (Page 7)

Items shown on Schedule D Section 2 must be carried over from Campaign Statement to Campaign Statement until paid. When payments are made, they are reportable in the Summary of Expenditures and on Schedule D Section 1 if more than \$250.

NOTE: Goods and services for which the committee has obligated itself to pay must be reported as unpaid bills or accrued expenses even if payment is not yet due and the specific amount to be paid is not yet known. An obligation to pay generally exists at the moment when contracted or when a product or service has been rendered. A reasonable estimate must be made if the exact amount is unknown. Amounts owed to the candidate and other individuals for reimbursement must be listed.

DON'T FORGET TO COMPLETE THE VERIFICATION SECTIONS ON PAGE 8

NOTE: This Form Can Be Filed Electronically

Please contact the Commission for information on electronic filing.

ITEM 6	EXPENDITURES MADE IN SUPPORT OR OPPOSITION TO CANDIDATES OR BALLOT QUESTION COMMITTEES (Include direct contributions to candidates, in-kind or independent expenditures & loans)
---------------	---

List expenditures of any amount which were made to or for the benefit of candidates or ballot questions. If your committee made multiple contributions in support or opposition to the same candidate or ballot question committee, also list the total accumulated contributions given for the election. Expenditures made in support of more than one candidate or ballot question committee must be allocated (E.g. the purchase of a newspaper ad advocating support or opposition to more than one candidate or ballot question).

Name of Candidate & Office sought or Ballot Question Identification	Support or Oppose	Nature (See Key)	Date of Each Expenditure	Amount or Value	Total Contributed this Election
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00
	Choose Or Choose Or Choose Or	Choose One Choose One Choose One			\$0.00

Name of Payee if other than candidate or ballot question listed above (attach additional sheets if needed)

Description of Contribution or Expenditure (E.g., newspaper ad, printing, etc.)

KEY: A - Direct Contribution to Candidate or Ballot Question B - In-Kind C - Independent Expenditure

General Information - Filing Requirements

WHO MUST FILE:

Committees required to register with the Commission* must make all required filings. If your committee is not required to register with the Commission, election campaign statements and annual reports are not required. Filing NADC Form A-1 (Statement of Organization of a Political Committee) registers your committee. *Complete the checklist on Page 4 to determine your filing requirements.*

Election Campaign Statements must be filed by a committee if it makes direct expenditures or independent expenditures supporting or opposing candidates or ballot questions.

Annual Campaign Statement must be filed by all political committees on or before January 31 of each year except the year following an election year in which the committee filed Campaign Statements. The closing date for annual Campaign Statements is December 31. See also PERIOD COVERED BY REPORT.

PERIOD COVERED BY REPORT

The reporting period begins with the day after the closing date of the committee's last Campaign Statement and ends with the closing date of the Campaign Statement due. If the committee has never filed a Campaign Statement before, begin with the date money was first raised, received, or expended.

WHERE TO FILE File all Campaign Statements with the Commission.

WHEN TO FILE

Election Campaign Statements

Primary Election	Closing Date	Due Date
1 st statement	35 days before Primary	30 days before Primary
2 nd statement	15 days before Primary	10 days before Primary
Post election statement	35 days after Primary	40 days after Primary

General Election	Closing Date	Due Date
1 st statement	35 days before General	30 days before General
2 nd statement	15 days before General	10 days before General
Post election Statement	December 31 of election year	70 days after General

A Contribution: A payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loan, donation, pledge or promise of money or anything of ascertainable monetary value to a person made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage, or defeat of a ballot question. (An offer or tender of a contribution is not a contribution if expressly and unconditionally rejected or returned.) Contribution shall include the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events.

In-kind Contributions: Goods, materials, services and other things of value provided to the committee (such as use of office space, printing, or telephone bank) or payments made by others for expenses incurred by or on behalf of the committee.

A Pledge: An oral or written promise of a future payment of money or anything of ascertainable monetary value.

Earmarked Contribution: A designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written, which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Anonymous Contribution: Contributions from donors of unknown identity, with the exception of contributions which are \$50 or less received as a result of fund raising events or from the sale of political merchandise, or from membership fees, dues or subscriptions for political purposes to an independent committee.

Election: A primary, general, special, or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate. *Election includes a vote on a ballot question.*

Expenditure means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the NADC Form B-2 - 2014

For Use In Reporting Transactions Occurring On Or After January 1, 2015

Annual Campaign Statements	Closing Date	Due Date
	December 31 of each year	January 31 of following year.

Check the Commission bulletins, publications and website for specific reporting dates

NOTE: Lincoln and Omaha City Elections:- Some of the reporting dates specified in this section do not apply to city elections in Lincoln or Omaha. Candidates and committees should consult special Commission bulletins, publications or website for the reporting dates for these elections.

NOTE: Special Elections: Committees supporting or opposing candidates or ballot questions in special elections should contact the Commission for reporting dates.

OTHER FILING REQUIREMENTS

Changes to any of the information required in the committee's Statement of Organization are required to be reported by the date of your next Campaign Statement.

Report of Earmarked Contribution (NADC Form B-3): Any *registered* committee which acts as an intermediary or agent in transferring an earmarked contribution must report the contribution on NADC Form B-3 (Report of Earmarked Contribution) by the date of the next Campaign Statement required to be filed after the intermediary/agent committee receives the earmarked contribution or pledge of contribution. Attach Form B-3 to this Campaign Statement

Any *unregistered* committee which acts as an intermediary or agent in transferring an earmarked contribution directly or indirectly from a contributor to the recipient candidate or political committee must report the contribution on NADC Form B-3 (Report of Earmarked Contribution). The report must be filed and the contribution forwarded to the recipient candidate or committee within 10 days of receipt by the intermediary/agent.

Report of Late Contribution (NADC Form B-5): Any contribution of \$1,000 or more received during the 14 days immediately preceding an election and not reported on the pre-election Campaign Statement must be reported on NADC Form B-5 (Report of Late Contribution) within 2 days of receipt. All late contributions must also be reported on the post-election Campaign Statement.

Definitions

qualification, passage, or defeat of a ballot question. (An offer or tender of an expenditure is not an expenditure if expressly and unconditionally rejected or returned.) Expenditure shall include a contribution or a transfer of anything of ascertainable monetary value for purposes of influencing the nomination or election of any candidate or the qualification, passage or defeat of a ballot question.

An **Independent Expenditure** means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question, which is not made at the direction of, under the control of, or with the cooperation of another person and which is not a direct contribution to a committee or candidate.

Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution and not an independent expenditure.

Political party committee means a state, central, district, or county committee of a political party which is a committee

Political party means a political party which has a right under law to the names of its candidates listed on the ballot in a general election.

Statutory Authority: Sections 49-1457, Nebraska Statutes

*All references to "Commission" shall mean the Nebraska Accountability and Disclosure Commission.

Filing Requirements Checklist

ELECTION CAMPAIGN STATEMENTS:

To use this checklist, first determine whether your committee has registered with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee). Then answer the following questions. Unregistered committees begin with question 1. Registered committees begin with question 3. If you don't know, or have any doubts about the status of your committee, contact the Commission office.

A **registered committee** has a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission

An **unregistered committee** does not have a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission.

Unregistered Committees (No Form A-1 filed) start here

1. Have you raised or expended more than \$5,000 in a calendar year, including, in the case of a candidate committee, any personal funds of the candidate?
 Yes. Continue with question 2.
 No. Stop here. You are not required to register your committee with the Commission until you have raised or expended over \$5,000 in a calendar year.
2. Have you registered your committee with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee)?
 Yes. Continue with question 3.
 No. File Form a-1 with the NADC and continue with question 3.

Registered Committees Start Here

3. Is your committee supporting or opposing any candidate(s) or ballot questions(s) in this election?
 Yes. You must file Election Campaign Statements. See **WHEN TO FILE**.
 No. You do not need to file election Campaign Statements. You will need to file an Annual Campaign Statement for the calendar year. See **ANNUAL CAMPAIGN STATEMENTS**.

ANNUAL CAMPAIGN STATEMENTS

To determine whether your committee must file an Annual Campaign Statement, answer the following question:

1. Did your committee file Election Campaign Statements in connection with an election in the calendar year which precedes the January 31 due date for the Annual Statement?
 Yes. Stop here. No Annual Campaign Statement is required.
 No. You must file an Annual Campaign Statement on or before January 31.

Commission website: www.nadc.nebraska.gov

REMINDER: YOUR COMMITTEE MUST FILE CAMPAIGN STATEMENTS IF IT SUPPORTS OR OPPOSES STATE OR LOCAL CANDIDATES OR BALLOT QUESTIONS IN THE PRIMARY OR GENERAL ELECTION. THERE IS NO DOLLAR THRESHOLD - SUPPORT OR OPPOSITION OF ANY AMOUNT IN ANY FORM (DIRECT, IN-KIND, INDEPENDENT EXPENDITURES) TRIGGERS A REQUIREMENT TO FILE ELECTION CAMPAIGN STATEMENTS. IN ADDITION, IF YOUR COMMITTEE IS REQUIRED TO FILE AN ELECTION CAMPAIGN STATEMENT IT MUST FILE ALL SUBSEQUENT STATEMENTS FOR THAT ELECTION EVEN IF NO ACTIVITY OCCURRED IN THE SUBSEQUENT REPORTING PERIODS

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11 th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	<h1>REPORT OF EARMARKED CONTRIBUTION</h1> <p>NADC FORM B-3</p>	POSTMARK DATE	
		MICROFILM NUMBER	
BEFORE COMPLETING THIS FORM READ THE INSTRUCTIONS ON PAGE 3		OFFICE USE ONLY	

- Any person who acts as an intermediary or agent for an earmarked contribution must file this Report of Earmarked Contribution.
- A Report of Earmarked Contribution is filed on time if it is postmarked or personally delivered on or before the due date. **Late filing fees are \$25 per day, not to exceed \$750.**
- File with the Nebraska Accountability and Disclosure Commission. A copy of the report must also be given to the end recipient of the contribution at the time the contribution is delivered.
- Persons who fail to file this report or otherwise do not comply with the reporting provisions of the law are subject to penalties.
- Sign this form on page 2.

ITEM 1	NAME AND ADDRESS OF INTERMEDIARY OR AGENT
---------------	--

Name _____ Telephone No. _____			
Last	First	Middle	
Address _____			
STREET ADDRESS OR RURAL ROUTE		City	STATE ZIP CODE

ITEM 2	NAME AND ADDRESS OF ACTUAL SOURCE OF CONTRIBUTION
---------------	--

Name _____ Telephone No. _____			
Last	First	Middle	
Address _____			
STREET ADDRESS OR RURAL ROUTE		CITY	STATE ZIP CODE

ITEM 3	RECIPIENT OF CONTRIBUTION (or Intended Recipient, if contribution has not yet been transferred)
---------------	--

Name _____

ITEM 4	DESCRIPTION OF CONTRIBUTION
---------------	------------------------------------

As Received by Intermediary	As Transferred to Recipient
Date _____ Amount _____	DATE _____ Amount _____
MONTH DAY YEAR	MONTH DAY YEAR
Nature <input type="checkbox"/> Money <input type="checkbox"/> In-Kind <input type="checkbox"/> Loan <input type="checkbox"/> Pledge	<input type="checkbox"/> Money <input type="checkbox"/> In-Kind <input type="checkbox"/> Loan <input type="checkbox"/> Pledge
Description of In-Kind:	Description of In-Kind:

Use Item 6, Continuation, if necessary.

ITEM 5**VERIFICATION**

I, _____, state that I am duly authorized to file this report, that I have used all reasonable
(PRINT NAME OF INDIVIDUAL SIGNING)
diligence in its preparation and that to the best of my knowledge it is true and complete.

(DATE)

(SIGNATURE AND TITLE)

ITEM 6**CONTINUATION**

General Information - Filing Requirements

Any contribution by a person made on behalf of or to a candidate or committee, including a contribution which is in any way earmarked or otherwise directed to the candidate or committee through an intermediary or agent, is considered to be a contribution from that person to the candidate or committee.

Any intermediary or agent, other than a committee, which receives an earmarked contribution must forward the earmarked contribution to the recipient candidate or committee within 10 days after receipt of the contribution. The intermediary or agent must disclose its own name and address and the name and address of the actual source of the contribution by providing to the recipient a copy of the Report of Earmarked Contribution at the time the contribution is delivered.

I. Who Should File:

Any person who acts as an intermediary or agent for an earmarked contribution must file this Report of Earmarked Contribution.

II. When to File:

COMMITTEES: Any committee which acts as an intermediary or agent must file by the date of the next Campaign Statement required to be filed after receiving the earmarked contribution or pledge of contribution. Attach this form to the next campaign statement required to be filed after receiving the earmarked contribution.

OTHER: Any person, other than a committee, which acts as an intermediary or agent must file within 10 days after receipt of the earmarked contribution.

A Report of Earmarked Contribution is filed on time if it is postmarked or personally delivered on or before the due date. **Late filing fees are \$25 per day, not to exceed \$750.**

III. Where to File

File the Report of Earmarked Contribution with the Nebraska Accountability and Disclosure Commission.

Definitions

Earmarked Contribution means a designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written, which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Contribution means a payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loan, donation, pledge or promise of money or anything of ascertainable monetary value to a person made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage, or defeat of a ballot question. (An offer or tender of a contribution is not a contribution if expressly and unconditionally rejected or returned.) Contribution shall include the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events. It also includes the granting of discounts or rebates by broadcast media and newspapers not extended on an equal basis to all candidates for the same office.§49-1415

In-kind Contribution means goods, materials, services and other things of value provided to the committee (such as use of office space, printing, or telephone bank) or payments made by others for expenses incurred by or on behalf of the committee.§49-1430

Pledge means an oral or written promise of a future payment of money or anything of ascertainable monetary value.

Person means a business, individual, proprietorship, firm, partnership, limited liability company, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or other organization or group of person acting jointly.§49-1438

Candidate means (1) an individual: (a) who files, or on behalf of whom is filed, a fee, affidavit, nomination papers, or nominating petition for an elective office; (b) whose nomination as a candidate for elective office by a political party caucus, committee, or convention is certified to the appropriate filing official; (c) who is an officeholder who is the subject of a recall vote; or (d) who receives a contribution, makes an expenditure, or gives consent for another person to receive a contribution or make an expenditure with a view to bringing about the individual's nomination or election to an elective office, whether or not the specific elective office for which the individual will seek nomination or election is known at the time the contribution is received or the expenditure is made. An elected officeholder shall, if eligible under law,

be considered to be a candidate for reelection to that same office for the purposes of §§49-1401 to 49-14,141 only. (2) Candidate does not include any person within the meaning of the Federal Election Campaign Act. §49-1409

Candidate Committee means the committee designated in a candidate's filed Statement of Organization of a Political Committee as that individual's candidate committee. A candidate committee shall be presumed to be under the control and direction of the candidate named in same Statement of Organization of a Political Committee and may be a one person committee, that person being the candidate.§49-1410

Ballot question means any question which is submitted or which is intended to be submitted to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.§49-1405

Ballot Question Committee means any committee acting in support of, or in opposition to, the qualification, passage or defeat of a ballot question, but which does not receive contributions or make expenditures or contributions for the purpose of influencing or attempting to influence the action of voters for or against the nomination or election of a candidate.§49-1406

Political Party Committee. A state central, district or county committee of a political party. (A political party means a political party which has a right under law to have the names of its candidates listed on the ballot in a general election.)§49-1441

Independent Committee (A) Independent committee means a political committee other than a candidate, ballot question or political party committee. (B) A "separate segregated political fund" is an independent committee established and administered by a corporation, labor organization, limited liability company, limited partnership, or industry, trade or professional association.§49-1427

Late Filing Fees Any person or committee which fails to file a Report of Earmarked Contribution with the Commission as required shall pay a late filing fee of \$25 for each day the report remains not filed, not to exceed \$750.

Statutory Authority: §49-1479.01. Revised Nebraska Statutes
Website: www.nadc.nebraska.gov

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11 th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	CAMPAIGN STATEMENT INDEPENDENT COMMITTEES NADC FORM B-4	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; padding: 2px;">POSTMARK DATE</td> <td style="width:50%;"></td> </tr> <tr> <td style="padding: 2px;">MICROFILM NUMBER</td> <td></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">OFFICE USE ONLY</td> </tr> </table>	POSTMARK DATE		MICROFILM NUMBER		OFFICE USE ONLY	
POSTMARK DATE								
MICROFILM NUMBER								
OFFICE USE ONLY								
BEFORE COMPLETING THIS FORM READ THE FILING REQUIREMENTS ON PAGES 2(a) & (b)								

- All political committees must file periodic Campaign Statements (See Filing Requirements on pages 2(a) and (b)).
- Attach all schedules (A-D) and type or print all information.
- Late filing fee for filing this statement after the date it is due is \$25 for each day the statement remains unfiled, not to exceed \$750.
- Persons who fail to file this report or otherwise do not comply with the reporting provisions of the law are subject to penalties.

ITEM 1	NAME OF COMMITTEE AND COMMITTEE TREASURER	<input type="checkbox"/> Check here if any information in Item 1 is new
Committee Name _____ Telephone No. () _____		
Address _____ <small style="display: flex; justify-content: space-between; width: 100%;"> STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE </small>		
Treasurer _____ E-Mail Optional _____		
Home Address _____ Home Telephone No. () _____		
Business Address _____ Business Telephone No. () _____		

ITEM 2	DATE OF ELECTION FOR WHICH STATEMENT IS FILED:
_____ <small>MONTH DAY YEAR</small>	

ITEM 3	NATURE OF FILING (Check appropriate box)			
<table style="width:100%; border: none;"> <tr> <td style="width:33%; vertical-align: top; padding: 2px;"> Primary Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Forty days after election </td> <td style="width:33%; vertical-align: top; padding: 2px;"> General Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Seventy days after election </td> <td style="width:33%; vertical-align: top; padding: 2px;"> Other Campaign Statements <input type="checkbox"/> Amended Statement for _____ <input type="checkbox"/> Annual statement due January 31st <input type="checkbox"/> Final Statement - Attach Form A-2 Statement of Dissolution </td> </tr> </table>		Primary Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Forty days after election	General Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Seventy days after election	Other Campaign Statements <input type="checkbox"/> Amended Statement for _____ <input type="checkbox"/> Annual statement due January 31 st <input type="checkbox"/> Final Statement - Attach Form A-2 Statement of Dissolution
Primary Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Forty days after election	General Election Campaign Statements <input type="checkbox"/> Thirty days before election <input type="checkbox"/> Ten days before election <input type="checkbox"/> Seventy days after election	Other Campaign Statements <input type="checkbox"/> Amended Statement for _____ <input type="checkbox"/> Annual statement due January 31 st <input type="checkbox"/> Final Statement - Attach Form A-2 Statement of Dissolution		
THIS STATEMENT COVERS A PERIOD FROM _____ TO _____ <small style="display: flex; justify-content: space-between; width: 100%;"> MONTH DAY YEAR MONTH DAY YEAR </small>				

ITEM 4	NATURE OF COMMITTEE
<input type="checkbox"/> Independent Committee <input type="checkbox"/> Separate Segregated Political Fund	

ITEM 5	VERIFICATION (This item must be completed before filing will be accepted.)
I, _____ state that I have used all reasonable <small style="display: block; margin-left: 100px;">(NAME OF TREASURER)</small> diligence in the preparation of this Campaign Statement, and to my knowledge it is true, correct and complete.	
_____ (DATE) _____ (SIGNATURE)	

The following summary sections require the reporting of total receipts and disbursements of the committee and the balance of cash on hand at the beginning and end of the reporting period. All receipts and disbursements must be reported here including in-kind contributions and expenditures, independent expenditures, pledges and loans (see definitions on pages 2(a) and (b)). Schedules A through C require additional disclosures as specified for each schedule.

SUMMARY OF RECEIPTS

1. PREVIOUS RECEIPTS REPORTED FOR THIS ELECTION YEAR (Use previous Line 9, OR for first statement of an election year or an Annual enter zero)			\$0.00
2. CASH RECEIPTS THIS PERIOD			
(a) Contributions.....	\$0.00		
(b) Loans.....	\$0.00		
(c) Other (Dividends, Interest).....	\$0.00		
TOTAL CASH RECEIPTS (Line 2, a thru c).....	\$0.00		
3. DEDUCT LOAN REPAYMENTS.....	\$0.00		
4. DEDUCT REFUNDS OF CONTRIBUTIONS PREVIOUSLY REPORTED AS RECEIVED (Provide itemized list on Schedule C, pg.7).....	\$0.00		
5. NET CASH RECEIVED THIS PERIOD (Total Cash Receipts Minus Lines 3 & 4).....		\$0.00	
6. IN-KIND CONTRIBUTIONS RECEIVED THIS PERIOD.....		\$0.00	
7. UNPAID PLEDGES THIS PERIOD.....		\$0.00	
8. NET RECEIPTS THIS PERIOD (Line 5 plus 6 & 7).....			\$0.00
9. TOTAL RECEIPTS FOR THE ELECTION YEAR TO DATE (Line 1 plus Line 8).....			\$0.00

SUMMARY OF DISBURSEMENTS

10. PREVIOUS DISBURSEMENTS REPORTED FOR THIS ELECTION YEAR..... (Use previous Line 17, OR for first statement of an election year or an Annual enter zero)			\$0.00
11. CASH DISBURSEMENTS THIS PERIOD			
(a) Expenditures to or for the benefit of Nebraska (non-federal) candidates and ballot questions (Schedule B, Section 1, line 2).....	\$0.00		
(b) Funds disbursed for federal & out -of-state elections (Schedule B, Section 2, Line (3), Column B).....	\$0.00		
(c) Administrative/Operating Expenses.....	\$0.00		
TOTAL CASH DISBURSEMENTS (Line 11, a thru c).....	\$0.00		
12. DEDUCT CONTRIBUTIONS TO CAND./COMM PREVIOUSLY REPORTED WHICH WHERE RETURNED DURING THIS PERIOD (provide itemized list on Sched. C, pg. 7)...	\$0.00		
13. NET CASH DISBURSEMENTS THIS PERIOD (Line 11 minus Line 12).....		\$0.00	
14. NON-CASH IN-KIND AND NON-CASH INDEPENDENT EXPENDITURES THIS PERIOD (no cash disbursed by committee this period or in the future).....		\$0.00	
15. UNPAID PLEDGES MADE THIS PERIOD.....		\$0.00	
16. TOTAL DISBURSEMENTS THIS PERIOD (Line 13 Plus Lines 14 & 15).....			\$0.00
17. TOTAL DISBURSEMENTS FOR THE ELECTION YEAR TO DATE (Line 10 + Line 16).....			\$0.00

CASH BALANCE SUMMARY

18. CASH ON HAND AT BEGINNING OF PERIOD (From Prior Report Line 26).....	\$0.00
19. CASH RECEIVED IN PAYMENT OF PLEDGES REPORTED IN A PRIOR PERIOD.....	\$0.00
20. CASH RECEIPTS THIS PERIOD (From Line 5 Above).....	\$0.00
21. SUBTOTAL (Lines 18 , 19 & 20).....	\$0.00
22. CASH EXPENDITURES THIS PERIOD (From Line 13).....	\$0.00
23. SUBTOTAL (Line 21 Minus Line 22).....	\$0.00
24. PAYMENTS ON PLEDGES MADE IN PRIOR REPORTING PERIODS.....	\$0.00
25. ADJUSTMENTS FOR CASH RECEIPTS (OR EXPENDITURES) NOT INCLUDED ELSEWHERE*..	\$0.00
26. CASH ON HAND AT CLOSE OF THIS PERIOD (Line 23 Plus Line 24 Plus or Minus Line 25).....	\$0.00

Filing Requirements

The committee treasurer must keep detailed accounts, records, bills and receipts to substantiate the information reported in Campaign Statements.

Contributions-the committee must keep a record of the name and address of each person from whom a contribution (cash, in-kind, unpaid pledge, loan, etc.) is received even though such individual identification may not be required in periodic reports.

An independent committee which is a separate segregated political fund sponsored by a corporation, union, limited liability company, limited liability partnership, or industry, trade or professional association may only receive voluntary contributions from the employees, officers, directors, stockholders or members of the sponsoring entity. The corporation, union, limited liability company, limited liability partnership, or industry, trade or professional association may not make a contribution to its own committee.

Expenditures-the committee must keep a record of the name and address of each person to whom an expenditure (cash, in-kind, unpaid pledge, loan, etc.) is made.

An independent committee which is a separate segregated political fund may only make expenditures to candidates and committees, independent expenditures, and expenditures related to the administrative expenses of the committee. An independent committee may not make disbursements for lobbying activities.

WHO MUST FILE

Committees required to register with the Commission* must make all required filings. If your committee is not required to register with the Commission, Election and Annual Campaign Statements are not required. Filing NADC Form A-1 (Statement of Organization of a Political Committee) registers your committee. Complete the checklist on Page 2(b) to determine your filing requirements.

Election Campaign Statements must be filed by all political committees which support or oppose one or more candidates or ballot questions in an election.

Annual Campaign Statements must be filed by all political committees on or before January 31 of each year except the year following an election year in which the committee filed Campaign Statements. The closing date for annual Campaign Statements is December 31. See also PERIOD COVERED BY REPORT.

WHEN TO FILE

Election Campaign Statements

Primary Election	Closing Date	Due Date
1 st statement	35 days before Primary	30 days before Primary
2 nd statement	15 days before Primary	10 days before Primary
Post election statement	35 days after Primary	40 days after Primary

General Election	Closing Date	Due Date
1 st statement	35 days before General	30 days before General
2 nd statement	15 days before General	10 days before General
Post election Statement	December 31 of election year	70 days after General

Annual Campaign Statements	December 31 of each year	January 31 of following year.
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Check the Commission's bulletins, publications, and website for specific reporting dates

NOTE: Lincoln and Omaha City Elections and Local Special Elections

Some of the reporting periods and due dates specified in this section do not apply to city elections that occur in odd numbered years in Lincoln and Omaha or to special elections that may occur at any time. Committees should contact the Commission for the reporting periods and due dates for those elections.

PERIOD COVERED BY REPORT

The reporting period begins with the day after the closing date of the committee's last Campaign Statement and ends with the closing date of the Campaign Statement due. If the committee has never filed a Campaign Statement before, begin with the date money was first raised, received, or expended.

WHERE TO FILE

File with the Commission

OTHER FILING REQUIREMENTS

Statement of Organization of a Political Committee (NADC FORM A-1): Filing NADC Form A-1 registers your committee. See Filing Requirement Checklists, Page 2(b), for further information.

Changes to any of the information required in the committee's Statement of Organization are required to be reported by the date your next Campaign Statement is required to be filed. Use the space provided after Schedule C to report any changes.

Report of Late Contribution (NADC Form B-5): Any contribution of \$1,000 or more received during the 14 days immediately preceding the election and not reported on the pre-election Campaign Statement must be reported on NADC Form B-5 (Report of Late Contribution) within 2 days of receipt. All late contributions must also be reported on the post-election Campaign Statement.

Report of Earmarked Contribution (NADC Form B-3): Any *registered* committee which acts as an intermediary or agent in transferring an earmarked contribution must report the contribution on NADC Form B-3 (Report of Earmarked Contribution) by the date of the next Campaign Statement required to be filed after the intermediary/agent committee receives the earmarked contribution or pledge of contribution. Attach Form B-3 to this Campaign Statement.

Any *unregistered* committee which acts as an intermediary or agent in transferring an earmarked contribution directly or indirectly from a contributor to the recipient candidate or political committee must report the contribution on NADC Form B-3 (Report of Earmarked Contribution). The report must be filed and the contribution forwarded to the recipient candidate or committee within 10 days of receipt by the intermediary/agent.

Report of Late Independent Expenditure (NADC Form B-11): An Independent committee, including a separate segregated political fund, which makes a late independent expenditure shall report the late independent expenditure to the Commission within two days after the late independent expenditure is made. A late independent expenditure shall also be reported on the subsequent campaign statement. A late independent expenditure is an independent expenditure of \$1,000.00 or more made during the 14 days immediately preceding the election.

Commission Website: www.nadc.nebraska.gov

NOTE: This form can be filed electronically. Contact the Commission for information on Electronic Filing

Filing Requirements Checklist

ELECTION CAMPAIGN STATEMENTS:

To use this checklist, first determine whether your committee has registered with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee). Then answer the following questions. Unregistered committees begin with question 1. Registered committees begin with question 3. If you don't know, or have any doubts about the status of your committee, contact the Commission office.

A **registered committee** has a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission

An **unregistered committee** does not have a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission.

Unregistered Committees (No Form A-1 filed) start here

1. Have you raised, received, or spent more than \$5,000 in a calendar year period to influence state or local elections in Nebraska?

- Yes. Continue with question 2.
 No. Stop here. You are not required to register your committee with the Commission until you have raised or expended more than \$5,000 in a calendar year.

1. Have you registered your committee with the Commission by filing NADC Form A-1 (Statement of Organization of a Political Committee)?

- Yes. Continue with question 3.
 No. File with Form A-1 with the NADC and continue with question 3.

Registered Committees Start Here

2. Is your committee supporting or opposing any state or local candidate(s) or ballot question(s) in this election?

- Yes. You must file Election Campaign Statements. See **WHEN TO FILE**.
 No. You do not need to file election Campaign Statements. You will need to file an Annual Campaign Statement for the calendar year. See **ANNUAL CAMPAIGN STATEMENTS**.

ANNUAL CAMPAIGN STATEMENTS

To determine whether your committee must file an Annual Campaign Statement, answer the following question:

1. Did your committee file Election Campaign Statements in connection with an election in the calendar year which precedes the January 31 due date for the Annual Statement?

- Yes. Stop here. No Annual Campaign Statement is required.
 No. You must file an Annual Campaign Statement on or before January 31. See **PERIOD COVERED BY REPORT**.

Definitions

Contribution: A payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loan, donation, pledge or promise of money or anything of ascertainable monetary value to a person made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage, or defeat of a ballot question. (An offer or tender of a contribution is not a contribution if expressly and unconditionally rejected or returned.) Contribution shall include the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events.

In-kind Contributions: Goods, materials, services and other things of value provided to the committee (such as use of office space, printing, or telephone bank) or payments made by others for expenses incurred by or on behalf of the committee.

Pledge: An oral or written promise of a future payment of money or anything of ascertainable monetary value.

Anonymous Contribution: Contributions from donors of unknown identity, with the exception of contributions which are \$50 or less received as a result of fund raising events or from the sale of political merchandise, or from membership fees, dues or subscriptions for political purposes to an independent committee.

Earmarked Contribution: A designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written, which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Contribution shall not include the Following: (a) Volunteer personal services provided without compensation, or payment of costs incurred of less than \$250 in a calendar year by an individual for personal travel expenses, if the costs are voluntarily incurred without any understanding or agreement that the costs shall be, directly or indirectly, repaid; (b) Amounts received pursuant to a pledge or promise to the extent that the amounts were previously reported as a contribution; (c) Food and beverages, not to exceed \$50 in value during a calendar year, which are donated by an individual and for which reimbursement is not given.

Election: A primary, general, special, or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate. *Election includes a vote on a ballot question.*

Expenditure: A payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage, or defeat of a ballot question. (An offer or tender of an expenditure is not an expenditure if expressly and unconditionally rejected or returned.) Expenditure shall include a contribution or a transfer of anything of ascertainable monetary value for purposes of influencing the nomination or election of any candidate or the qualification, passage or defeat of a ballot question.

In-kind expenditure: An expenditure as defined above, other than money.

Independent Committee: Independent committee shall mean a political committee other than a candidate, ballot question, or political party committee.

Independent Expenditure: A payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question, *which is not made at the direction of, under the control of, or with the cooperation of another person and which is not a direct contribution to a committee or candidate.*

Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution and not an independent expenditure.

Separate Segregated Political Fund: An Independent committee established and administered by a corporation, labor organization, limited liability company, limited liability partnership, or industry, trade or professional association.

*All reference to "Commission" shall mean the Nebraska Accountability and Disclosure Commission.

Statutory Authority: Sections 49-1455, 49-1469, 49-1469.05, 49-1469.06, 49-1469.07 and 49-1469.08, Nebraska Revised Statutes.

Schedule B Section 2 - Funds disbursed to candidates and committees outside Nebraska and Candidates for federal office.

Indicate the **total** amount of funds disbursed, by state, in support of or opposition to candidates and committees in states other than Nebraska and the total amount for candidates for federal office. Include any independent expenditure made in such elections.

***REMINDER:** Do not list individual candidate or committee names.

Name of State	Total at close of last period A	Total for this period + B	Total = C
Federal			\$0.00
Alabama			\$0.00
Alaska			\$0.00
Arizona			\$0.00
Arkansas			\$0.00
California			\$0.00
Colorado			\$0.00
Connecticut			\$0.00
Delaware			\$0.00
Florida			\$0.00
Georgia			\$0.00
Hawaii			\$0.00
Idaho			\$0.00
Illinois			\$0.00
Indiana			\$0.00
Iowa			\$0.00
Kansas			\$0.00
Kentucky			\$0.00
Louisiana			\$0.00
Maine			\$0.00
Maryland			\$0.00
Massachusetts			\$0.00
Michigan			\$0.00
Minnesota			\$0.00
Mississippi			\$0.00
Missouri			\$0.00
Montana			\$0.00
Nevada			\$0.00
New Hampshire			\$0.00
New Jersey			\$0.00
New Mexico			\$0.00
New York			\$0.00
North Carolina			\$0.00
North Dakota			\$0.00
Ohio			\$0.00
Oklahoma			\$0.00
Oregon			\$0.00
Pennsylvania			\$0.00
Rhode Island			\$0.00
South Carolina			\$0.00
South Dakota			\$0.00
Tennessee			\$0.00
Texas			\$0.00
Utah			\$0.00
Vermont			\$0.00
Virginia			\$0.00
Washington			\$0.00
West Virginia			\$0.00
Wisconsin			\$0.00
Wyoming			\$0.00
(1) Total disbursements for state and local elections outside Nebraska	\$0.00	\$0.00	\$0.00
(2) Total disbursements for federal candidates	\$0.00	\$0.00	\$0.00
(3) Total of all disbursements for state and local elections outside Nebraska and for federal candidates (line 1 plus line 2) (To Summary of Disbursements, line 11(b))	\$0.00	\$0.00	\$0.00

SCHEDULE C - : Miscellaneous Transactions

List all transactions not otherwise reported on Schedules A or B. Include the date the transaction occurred, the total amount of the receipt or disbursement and an explanation of the transaction. Be sure to include names in the description, e.g. contributor, committee, payee, etc. Completely describe accounts payable or unpaid bills for in-kind and independent expenditures that have been incurred but not yet paid, including the candidate or ballot question committee names which were supported or opposed, and make estimates if the amount owed is unknown. Include all transactions listed on line 4, 12, 14 and 15 of the Summary of Receipts and Disbursements on page 2 and any adjustments to the Cash Balance Summary.

Date	Amount	Nature (See Key)	Description
		Choose From: Choose From: Choose From: Choose From: Choose From: Choose From: Choose From: Choose From: Choose From:	

KEY: A - Adjustments to Cash Balance D- Contribution to Candidates or ballot questions which were returned G - Independent Expenditures (Non-Cash)

 B - Funds Borrowed or Repaid E - Unpaid Pledges Made This Period H - Accounts Payable & Unpaid Bills (provide complete description and make estimates)

 C - Refunds of Contributions Previously Received F - In-Kind Expenditures (Non-Cash) I - Anonymous Contributions

This space provided for continued information from other schedules, and for changes to your committee's Statement of Organization (NADC Form A-1)

(COMMITTEE NAME)

(DATE OF STATEMENT)

THIS SPACE PROVIDED FOR INFORMATION CONTINUED FROM OTHER SCHEDULES

INSTRUCTIONS FOR COMPLETING CAMPAIGN STATEMENTS

ITEM 3 - REPORTING PERIOD (Page 1)

The beginning date depends on whether you have previously filed Campaign Statements.

- Committees that have previously filed Campaign Statement(s) begin with the day following the close-out date of the last Campaign Statement filed.
- Committees that have **not** previously filed a Campaign Statement begin with the day money was **first raised, received, or spent.**

The **period ending date** is **5 days** before the day the statement is due **except in the case of an annual statement or a post general election Campaign Statement which ends with December 31.**

SUMMARY OF RECEIPTS AND SUMMARY OF DISBURSEMENTS (Page 2)

Election Campaign Statements are **cumulative for the calendar year of the election** including the Primary and General Elections. **Receipts and Disbursements** begin with **zero** on the 1st Primary Statement and totals carry over onto the 2nd and Post Primary Statements **and to the General Election Statements. DO NOT** carry over Receipts and Disbursements from an annual Campaign Statement to the 1st Primary Campaign Statement.

Summary of Receipts (Page 2)

Line 1: "Previous Receipts Reported for this Election Year."

- 1st Primary Statement: Line 1 should be **zero**.
- 2nd and Post Primary **and 1st, 2nd and Post General Statements:** Line 1 should be the amount from "Total Receipts Election to Date" (Line 9) of the **previous** Campaign Statement filed by the Committee.
- Annual Statement: Line 1 should be **zero**.

Summary of Disbursements (Page 2)

Line 10: "Previous Disbursements Reported for this Election Year."

- 1st Primary Statement: Line 10 should be **zero**.
- 2nd and Post Primary **and 1st, 2nd and Post General Statements:** Line 10 should be the amount from "Total Disbursements for the Election Year to Date" of the **previous** Campaign Statement.
- Annual Statement: Line 10 should be **zero**.

CASH BALANCE SUMMARY (Page 2)

The Cash Balance **always** carries over from the previous statement to the current statement.

SCHEDULES A - CONTRIBUTIONS OF MORE THAN \$250 (Page 3)

- **Do not** carry over contributions shown on an annual Campaign Statement to the 1st Primary Statement. Schedule A is wiped clean after an annual Campaign Statement.
- Add together all contributions including in-kind contributions and pledges of contributions, received from the same donor **during the calendar year of the Primary and General Elections or annual reporting period.** If the total received during the calendar year is more than \$250 report all the contributions on the Statement due.
- All Contributions shown on the 1st Election Statement must be repeated on the 2nd Election Statement, **and on all subsequent statements for the calendar year of the election, even if no new contributions are received.**

SCHEDULE B, SECTION 1 - ALLOCATED CUMULATIVE EXPENDITURES FOR NEBRASKA STATE AND LOCAL CANDIDATES AND BALLOT QUESTIONS.

- Include **all** expenditures made to or for the benefit of Nebraska state or local candidates and committees **regardless of the amount.**
- **Do not** carry over expenditures shown on an annual Campaign Statement to the 1st Primary Statement. Schedule B is wiped clean after an annual Campaign Statement.
- Include the nature of the contribution - whether it is a direct contribution (including an in-kind contribution or a loan) or an independent expenditure. **Provide a description of any in-kind or independent expenditure.**
- The address of the recipient candidate or committee **must** be included **if the cumulative amount contributed is more than \$250 DURING THE CALENDAR YEAR.**
- All candidates and committees listed on the 1st Election Campaign Statement must be repeated on the 2nd Election Campaign Statement **and on all subsequent statements field during the calendar year of the elections** even if your committee did not give any new contributions.

SCHEDULE B, SECTION 2 - FUNDS DISBURSED TO CANDIDATES AND COMMITTEES OUTSIDE NEBRASKA AND CANDIDATES FOR FEDERAL OFFICE.

- List the total amount of expenditures made to or for the benefit of candidates in states other than Nebraska and candidates for federal office. **DO NOT LIST INDIVIDUAL CANDIDATE OR COMMITTEE NAMES.** (See example on page 29 of Political Action Committee Treasurer's Guide.)

Don't forget to complete the verification section on page 1 of the reporting form!

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11 th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	<h1>REPORT OF LATE CONTRIBUTION</h1> <h2>NADC FORM B-5</h2>	POSTMARK DATE	
		MICROFILM NUMBER	
BEFORE COMPLETING THIS FORM READ THE INSTRUCTIONS ON REVERSE SIDE		OFFICE USE ONLY	

- A political committee receiving a contribution of \$1,000 or more during the 14 days immediately preceding an election must file this Report of Late Contribution within 2 days.
- A Report of Late Contribution is filed on time if it is postmarked or personally delivered on or before the due date. **Late filing fees are \$100 per day for each of the first 10 days the report remains not filed. Beginning on the 11th day an additional daily late fee of 1% of the amount to be disclosed by the report is assessed, not to exceed 10%.**

ITEM 1	NAME AND ADDRESS OF POLITICAL COMMITTEE FILING REPORT
---------------	--

Name _____	Telephone No. _____
Address _____	
STREET ADDRESS OR RURAL ROUTE	City
STATE	ZIP CODE

ITEM 2	NATURE OF COMMITTEE
---------------	----------------------------

<input type="checkbox"/> Candidate Committee	<input type="checkbox"/> Independent Committee	<input type="checkbox"/> Separate Segregated Political Fund
<input type="checkbox"/> Ballot Question Committee	<input type="checkbox"/> Political Party Committee	

ITEM 3	FULL NAME AND ADDRESS OF CONTRIBUTOR
---------------	---

Name _____	Telephone No. _____
Address _____	
STREET ADDRESS OR RURAL ROUTE	CITY
STATE	ZIP CODE

ITEM 4	DATE, AMOUNT AND NATURE OF CONTRIBUTION
---------------	--

Nature of Contribution (Check Appropriate Box)			
<input type="checkbox"/> Money	<input type="checkbox"/> Loan	<input type="checkbox"/> In-Kind	<input type="checkbox"/> Pledge
Date Received _____		Amount _____	
Month	Day	Year	

ITEM 5	OCCUPATION, EMPLOYER AND PRINCIPAL PLACE OF BUSINESS OF CONTRIBUTOR
---------------	--

Occupation	Employer	Principal Place of Business

General Information - Filing Requirements

A. Who Should File:

Any political committee receiving a late contribution. A late contribution means a contribution of \$1,000 or more which is received during the 14 days immediately preceding an election (i.e., after the closing date of the last Campaign Statement required to be filed prior to an election).

B. When to File

File within 2 days after receiving the contribution.

A Report of Late Contribution is filed on time if it is postmarked by the U.S. Postal Service or personally delivered on or before the due date. If the due date falls on a weekend or state holiday, the filing is due the next business day. **Late filing fees are \$100 per day for each of the first 10 days the report remains not filed. Beginning on the 11th day an additional daily late fee of 1% of the amount to be disclosed by the report is assessed, not to exceed 10%.**

C. Where to File:

File with the Nebraska Accountability & Disclosure Commission. Reports of Late Contribution may be faxed to the Commission office at 402-471-6599. The filer may call the Commission to confirm the fax has been received. It is the responsibility of the filer to insure that fax transmissions are received by the Commission.

NOTE: All late contributions must also be reported on the committee's post-election Campaign Statement.

Statutory Authority: Section 49-1458, Revised Statutes of Nebraska.

ITEM 5	NAME, ADDRESS, OCCUPATION, EMPLOYER, AND PRINCIPAL PLACE OF BUSINESS OF EACH PERSON WHO CONTRIBUTED MORE THAN \$250 TO THE EXPENDITURE
---------------	---

If only you contributed more than \$250 to the expenditure, write "filer" in the "Name" column and provide the other requested information in the remaining columns.

NAME	ADDRESS	OCCUPATION

PRINCIPAL PLACE OF BUSINESS	EMPLOYER

VERIFICATION

I, _____ state that to my knowledge the information contained in this report
 (NAME OF PERSON MAKING EXPENDITURE)
 is true, correct and complete.

 (DATE)

 (SIGNATURE)

General Information - Filing Requirements

I. Who Should File:

Any **person** as defined below who makes an independent expenditure of more than \$250 for the purpose of influencing the election or defeat of a candidate or the qualification, passage or defeat of a ballot question. Exceptions: An independent expenditure made by a committee is reported in Campaign Statement Forms (NADC B-1, B-2, or B-4). An independent expenditure made by a corporation, labor organization, limited liability company, limited liability partnership, or industry, trade or professional association is reportable in a Report of Political Contributions of a Corporation, Union or Other Entity (NADC Form B-7).

II. When To File:

Within 10 days of making the expenditure. Late filing fees are \$25 per day, not to exceed \$750.

III. Where To File:

With the Nebraska Accountability and Disclosure Commission.

Website: www.nadc.nebraska.gov

DO NOT FILE THIS REPORT IF THE EXPENDITURE WAS A DIRECT CONTRIBUTION TO A COMMITTEE OR CANDIDATE.

Definitions

Ballot question means any question which is submitted or which is intended to be submitted to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.

Candidate means an individual or officeholder who receives a contribution or makes an expenditure, or gives consent for another person to receive a contribution or make an expenditure, in connection with his or her nomination or election to an elective office; an individual who files for public office with the appropriate filing official; an officeholder who is eligible under law for re-election to the same office; or an officeholder who is the subject of a recall vote.

An **independent expenditure** means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question, *which is not made at the direction of, under the control of, or with the cooperation of another person and which is not a direct contribution to a committee or candidate.*

An **in-kind contribution** means a contribution other than money, i.e., goods, materials, services or other things of value furnished to a candidate or other political committee.

Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution *and not an independent expenditure.*

Person means a business, individual, proprietorship, firm, partnership, limited liability company, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or any other organization or group of persons acting jointly.

Statutory Authority: Section 49-1467, Revised Statutes of Nebraska.

General Information - Filing Requirements

I. Who Should File:

Any corporation (including a non-profit or professional corporation), labor organization, limited liability company, limited liability partnership or industry, trade, or professional association, doing business in this state which makes a contribution or expenditure or provides personal services of more than \$250 as determined by fair market value for the purposes of influencing or attempting to influence the action of the voters for or against the nomination or election of one or more candidates or the qualification, passage or defeat of one or more ballot questions must file this form.

II. When To File:

Within 10 days after the end of the calendar month in which any reportable contribution or expenditure is made or personal service provided. **Late filing fees are \$25 per day, not to exceed \$750.**

III. Where To File:

With the Nebraska Accountability and Disclosure Commission,
11th Floor, State Capitol, P.O. Box 95086, Lincoln, Nebraska 68509

NOTE: This form may be filed electronically. Contact the Commission for information on Electronic Filing.

Report of Earmarked Contribution (NADC Form B-3): Any person, other than a committee, which acts as an intermediary or agent in transferring an earmarked contribution directly or indirectly from a contributor to the recipient candidate or political committee must report the contribution on NADC Form B-3, Report of Earmarked Contribution, within 10 days of that person's receipt of the earmarked contribution. The agent or intermediary shall provide a copy of the Report of Earmarked Contribution to the recipient at the same time that the earmarked contribution is made. The earmarked contribution must be transferred to the recipient candidate or committee within the same 10-day period.

NOTE: A corporation or business which is under contract with the Lottery Division of the Nebraska Department of Revenue as a lottery contractor for a major procurement may **not** make a contribution to a candidate for state elective office.

Definitions

Ballot question means any question which is submitted or *which is intended to be submitted* to a popular vote at an election, including initiative, referendum, recall, judicial retention, or bond issue whether or not it qualifies for the ballot.

Contribution means a payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loan, donation, pledge, or promise of money or anything of ascertainable monetary value to a person, made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage or defeat of a ballot question. A contribution includes the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events. It also includes the granting of discounts or rebates by broadcast media and newspapers not extended on an equal basis to all candidates for the same office.

An **In-kind contribution** means a contribution as defined above, other than money; i.e., goods, materials, services or other things of value furnished by your corporation, union, limited liability company, limited liability partnership, or association to a candidate or other political committees.

A **pledge** is an oral or written promise of a future payment of money or anything of ascertainable monetary value.

Earmarked means a designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written, which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Election means a primary, general, special, or other election held in this state or a convention or caucus of a political party held in this state to nominate a candidate. *Election includes a vote on a ballot question.*

Expenditure means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question. Expenditure includes a contribution or transfer of anything of ascertainable monetary value for purposes of influencing the nomination or election of any candidate or the qualification, passage, or defeat of a ballot question.

An expenditure does not include expenditures for nonpartisan voter registration activities; communications which do not support or oppose candidates or ballot questions; or communications by a person strictly with the person's paid

members or shareholders. Distribution of the campaign materials of a candidate, a candidate committee, or a ballot question committee to one's paid members or shareholders is a reportable expenditure. (See also Title 4, Chapter 10, Rules and Regulations Governing Campaign Practices Under the Nebraska Political Accountability and Disclosure Act.)

An **Independent expenditure** means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question, which is not made at the direction of, under the control of, or with the cooperation of another person and which is not a direct contribution to a committee or candidate.

Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution and not an independent expenditure.

Person means a business, individual, proprietorship, firm, partnership, limited liability company, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or any other organization or group of persons acting jointly.

Personal Services means personnel provided to or for the benefit of a candidate or ballot question or political party committee when the person rendering such services is paid his or her regular salary or is otherwise compensated by such corporation, union or association.

Separate Segregated Political Fund is an independent committee established and administered by a corporation, labor organization, limited liability company, limited liability partnership, or industry, trade or professional association.

Note: A corporation, labor organization, limited liability company, limited liability partnership, or industry, trade or professional association may not receive contributions unless it establishes a separate segregated political fund. The corporation, union, limited liability company, limited liability partnership, or association may not make a contribution to its own fund except that it may make expenditures and provide personal services for the establishment and administration of its fund.

See the Political Action Committee Treasurers Guide for more information about separate segregated funds.

Statutory Authority: §49-1469 to §49-1469.08, Revised Statutes of Nebraska

General Information - Filing Information

I. Who Should file:

A Major Out-of-State Contributor is defined as any corporation, union or industry, trade and professional association which is not organized under the laws of the State of Nebraska which makes expenditures, contributions or provides personal services of more than \$10,000 in any calendar year for the purpose of influencing or attempting to influence the action of the voters for or against the nomination or election of one or more candidates or the qualification, passage or defeat of one or more ballot questions elections in the State of Nebraska.

An exemption applies to those entities who have a Statement of Organization of a Political Committee (NADC Form A-1) on file with the Commission, a person or organization required to file campaign statements with the Commission and those entities required to file a Report of Political Contribution of a Corporation, Union or Other Entity (NADC Form B-7) with the Commission. In addition, a committee or organization registered with the Federal Election Commission need not file the Out of State Contribution Report.

II. When to File:

Within ten (10) days after the end of the calendar month in which the Major Out-of-State Contributor has made more than \$10,000 of contributions or expenditures to influence elections during a calendar year in Nebraska. For the remainder of the calendar year, an Out of State Contribution Report shall be filed within ten (10) days after the end of the calendar month for each month the contributor makes a contribution or expenditure to influence Nebraska elections.

III. Where to File:

With the Nebraska Accountability and Disclosure Commission, 11th Floor, State Capitol, P.O. Box 95086, Lincoln, NE 68509

Report of earmarked contributions (NADC Form B-3): Any person, other than a committee, which acts as an intermediary or agent in transferring an earmarked contribution directly or indirectly from a contributor to the recipient candidate or political committee must report the contribution on NADC Form B-3, Report of Earmarked Contribution, within 10 days of that person's receipt of the earmarked contribution. The earmarked contribution must be transferred to the recipient candidate or committee within the same 10-day period.

Agent's Expenditure Report: No expenditure shall be made by an agent or an independent contractor, including and advertising agency, other than for normal operating expenses and overhead, unless it is reported by the committee as if the expenditure were made directly by the committee or unless the agent or independent contractor files an Agent's Expenditure Report.

An expenditure shall not made, other than for overhead or normal operating expenses by an individual or entity gathering petition signatures on behalf of a person, including a ballot question committee, unless the expenditure is reported by the ballot question committee as if the expenditure were made directly by the committee, or unless the person or entity gathering petition signatures files an Agent's Expenditure Report.

NOTE: Any person who is under contract with the Lottery Division of the Nebraska Department of Revenue as a lottery contractor for a major procurement may not make contributions to candidates for any Nebraska state elective office.

Definitions

Ballot Question means any question which is submitted or *which is intended to be submitted* to a popular vote at an election by way of initiative, referendum, recall, or bond issue or as a result of legislative action, whether or not it qualifies for the ballot.

Contribution means a payment, gift, subscription, assessment, expenditure, contract, payment for services, dues, advance, forbearance, loans, donation, pledge or promise of money or anything of ascertainable monetary value to a person, made for the purpose of influencing the nomination or election of a candidate, or for the qualification, passage or defeat of a ballot question. A contribution includes the purchase of tickets or payment of an attendance fee for events such as dinners, luncheons, rallies, testimonials, and similar fund raising events. It also includes the granting of discounts or rebates by broadcast media and newspapers not extended on an equal basis to all candidates for the same office.

An **in-kind contribution** means a contribution as defined above, other than money, i.e. goods, materials, services or other things of value furnished by your corporation, union or association to a candidate or other political committee.

A **Pledge** is an oral or written promise of a future payment of money or anything of ascertainable monetary value.

Earmarked means a designation, instruction, or encumbrance, including those which are direct or indirect, express or implied, or oral or written which results in any part of a contribution or expenditure, including any in-kind expenditure made in exchange for a contribution, being made to or expended on behalf of a candidate or a committee.

Election means a primary, general, special, or other election held in this state or a convention or caucus of a political party held this state to nominate a candidate. *Election shall include a vote on a Ballot Question.*

Expenditure means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question. Expenditure includes a contribution or transfer of anything of ascertainable monetary value for purposes of influencing the nomination or election of any candidate or the qualification, passage, or defeat of a ballot question.

An **Independent Expenditure** means a payment, donation, loan, pledge, or promise of payment of money or anything of ascertainable monetary value for goods, materials, services, or facilities in assistance of, or in opposition to, the nomination or election of a candidate, or the qualification, passage or defeat of a ballot question, *which is not made at the direction of, under the control of, or in cooperation with another person and which is not a direct contribution to a committee or candidate.*

Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution and not an independent expenditure.

Personal Services means personnel provided to or for the benefit of a candidate or ballot question or a political party committee when the person rendering such services is paid his or her regular salary or is otherwise compensated by such corporation, union or association.

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11 th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	OUT OF STATE CONTRIBUTION REPORT NADC FORM B-9	POSTMARK DATE	
		MICROFILM NUMBER	
BEFORE COMPLETING THIS FORM READ THE INSTRUCTIONS ON THE LAST PAGE		OFFICE USE ONLY	

- Any corporation, union, trade association or professional association which is not organized under the laws of the State of Nebraska and which makes contributions totaling more than \$10,000 in any calendar year must file.
- A person or committee registered with the Federal Election Commission, a committee that has a Statement of Organization on file with the Accountability and Disclosure Commission, a person required to file campaign statements with the Accountability and Disclosure and those entities required to file a Report of Political Contributions of a Corporation, Union or Other Entity with the Accountability and Disclosure Commission are exempted from filing this report.
- Attach all schedules (A & B) and type or print all information.
- Late filing fees are \$100 per day for each of the first 10 days the report remains unfiled. Beginning on the 11th day an additional late fee of 1% of the amount to be disclosed by the report is assessed, not to exceed 10%.
- Persons who fail to file this report or otherwise do not comply with the reporting provisions of the law are subject to civil penalties.

ITEM 1	NAME, ADDRESS AND PHONE NUMBER OF CORPORATION, UNION OR OTHER ASSOCIATION
<input type="checkbox"/> Corporation <input type="checkbox"/> Labor Organization <input type="checkbox"/> Industry or Trade Organization <input type="checkbox"/> Professional Association	
Name _____ Phone Number _____	
Address _____	
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE	

ITEM 2	VERIFICATION (This item must be completed before filing will be accepted)
I, _____ state that I have used all reasonable diligence in the preparation of this Out of State (NAME OF INDIVIDUAL SIGNING) Contribution Report, and to my knowledge it is true, correct and complete.	
_____ (SIGNATURE AND TITLE) (DATE)	

Schedule B - Allocated Cumulative Expenditures for Nebraska State and Local Candidates and Ballot Questions

Indicate the total amount of expenditures for or against each Nebraska candidate or ballot question (B.Q.) during this reporting period and the total for the calendar year to date. An expenditure made in support of more than one candidate or ballot question must be apportioned reasonably among the candidates or ballot questions supported or opposed. "Expenditure" for the purpose of this schedule includes direct contributions, loans, and all other disbursements of funds made in support or in opposition to Nebraska state and local candidates and ballot questions.

Name and Address of the Committee, Candidate or Person Receiving the Contribution	Support	Oppose	Nature (See Key)	Date of Expenditures this Period	Total at close of last period	Expenditures this period	Total for the Calendar Year to Date
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00
	<input type="checkbox"/>	<input type="checkbox"/>	Choose From:				\$0.00

Key: A = Direct Contribution B = In-kind Contribution C = Loans D = Independent Expenditures E = Pledge
 Describe In-Kind Contributions and Independent Expenditures in the first column.

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Agent's Expenditure Report: No expenditure shall be made by an agent or an independent contractor, including and advertising agency, other than for normal operating expenses and overhead, unless it is reported by the committee as if the expenditure were made directly by the committee or unless the agent or independent contractor files an Agent's Expenditure Report.

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Election means a primary, general, special, or other election held in this state or a convention or caucus of a political party held this state to nominate a candidate. *Election shall include a vote on a Ballot Question.*

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Any expenditure which is made as a result of a request or explicit suggestion of the candidate, the committee treasurer, or anyone acting on behalf of either, shall be considered an in-kind contribution and not an independent expenditure.

Personal Services means personnel provided to or for the benefit of a candidate or ballot question or a political party committee when the person rendering such services is paid his or her regular salary or is otherwise compensated by such corporation, union or association.

<p style="text-align: center;">NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 11th Floor, State Capitol P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov</p>	<h1 style="margin: 0;">REPORT OF LATE INDEPENDENT EXPENDITURE</h1> <p style="margin: 0;">NADC FORM B-11</p>	POSTMARK DATE	
		MICROFILM NUMBER	
		OFFICE USE ONLY	
BEFORE COMPLETING THIS FORM READ THE INSTRUCTIONS ON REVERSE SIDE			

- An independent committee including a segregated political fund making a late independent expenditure of \$1,000 or more during the 14 days immediately preceding an election must file this Report of Late Independent Expenditure within 2 days.
- A Report of Late Independent Expenditure is filed on time if it is postmarked or personally delivered on or before the due date, or if it is sent by facsimile in such time as to cause it to be received by the Commission Office on the date on which the report is due. If this report is sent by facsimile, the sender should call the Commission Office immediately thereafter to ensure it was received. **Late filing fees are \$100 per day for each of the first 10 days the report remains not filed. Beginning on the 11th day an additional late fee of 1% of the amount to be disclosed by the report is assessed, not to exceed 10%.**

ITEM 1	NAME AND ADDRESS OF COMMITTEE FILING REPORT
---------------	--

Committee Name _____	Telephone No. _____
Address _____	
STREET ADDRESS OR RURAL ROUTE	City
STATE	ZIP CODE

ITEM 2	FULL NAME AND ADDRESS OF RECIPIENT (Provider of Goods or Services)
---------------	---

Name _____	Telephone No. _____
Address _____	
STREET ADDRESS OR RURAL ROUTE	CITY
STATE	ZIP CODE

ITEM 3	DATE AND AMOUNT OF LATE INDEPENDENT EXPENDITURE
---------------	--

Date _____	Amount _____
Month	Day
Year	

ITEM 4	CANDIDATE OR BALLOT QUESTION SUPPORTED OR OPPOSED BY EXPENDITURE
---------------	---

Identify the candidate whose nomination or election is supported or opposed by this late independent expenditure:

Candidate: _____ Office Sought: _____

This expenditure supports the candidate Opposes the candidate

Identify the ballot question that is supported or opposed by this late independent expenditure:

This expenditure supports the ballot question Opposes the ballot question

ITEM 5	VERIFICATION
---------------	---------------------

Signature _____	Date _____
-----------------	------------

ITEM 6 SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

List your Government position if you were paid more than \$1,000.

1.) _____ _____ _____	1a.) _____ _____ _____
2.) _____ _____ _____	2a.) _____ _____ _____
3.) _____ _____ _____	3a.) _____ _____ _____
4.) _____ _____ _____	4a.) _____ _____ _____

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need **not** report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

If you have nothing to report, write NONE

1.) _____ _____ _____	1a.) _____ _____ _____
2.) _____ _____ _____	2a.) _____ _____ _____
3.) _____ _____ _____	3a.) _____ _____ _____
4.) _____ _____ _____	4a.) _____ _____ _____
5.) _____ _____ _____	5a.) _____ _____ _____
6.) _____ _____ _____	6a.) _____ _____ _____
7.) _____ _____ _____	7a.) _____ _____ _____

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)
---------------	--

List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
--	---

If you have nothing to report, write NONE

--	--

ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD
---------------	--

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
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If you have nothing to report, write NONE

--	--

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

If you have nothing to report, write NONE

--

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

If you have nothing to report, write NONE

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ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are: A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.			
ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

General Information - Filing Requirements

I. Who Should File:

- A. Candidates for the offices of Governor, Lt. Governor, Attorney General, Auditor of Public Accounts, Secretary of State, State Treasurer, State Board of Education, Public Service Commission, Board of Regents of the University of Nebraska, State Legislature, Board of Directors of a Public Power District, Mayor and City Council of the cities of Omaha and Lincoln, and any elective county office.
- B. Officeholders
 - 1. Any person holding an office listed in 1A above.
 - 2. A member of a land use planning commission or zoning commission of any county with a population of more than 100,000 residents.
 - 3. State officeholders, state officials and state employees specified in §49-1493 of the Nebraska Statutes or Title 4, Chapter 2 of the Commission's rules and regulations.

II. When and Where to File:

- A. Candidates for the elective offices listed in 1A, above, should file with the Commission and with the election official with whom the candidate files in order to appear on the ballot. Depending on the office sought, this could be the county clerk, county election commissioner or Secretary of State. The election official will not accept the filing to appear on the ballot unless a Statement of Financial Interests is properly filed.
- B. Officeholders, officials and employees referred to in 1B, above, must file with the Commission on or before April 1st of each year in which they hold the position. This filing should not be made with the election official.

- C. Officeholders, officials and employees referred to in 1B, above, who are appointed to the position must file with the Commission prior to assuming the duties of the office or position. If confirmation is required, the filing should be made prior to assuming the duties of office or position or prior to confirmation, whichever comes first. This filing need not be made with the election official.
- D. Within 30 days after leaving an office or a position listed in 1B, above, a statement should be filed with the Commission. The filing should cover a period beginning with the closing date of the prior statement through the date that the person left the office or position. This filing need not be made with the election official.

III. Potential Conflict of Interest Filings:

The individuals listed under 1B above are required to file a Potential Conflict of Interest Statement (NADC Form C-2)) whenever a potential conflict of interest arises. A public official or public employee has a potential conflict of interest if he or she is faced with taking an official action or making an official decision which could result in a financial benefit or financial detriment to: a) the public official; b) a member of his or her immediate family; or c) a business with which he or she is associated. The financial benefit must be distinguishable from that experienced by the general public or a broad segment of it.

IV. FORMS:

- A. Hard copies of forms may be obtained by contacting the Commission office. Forms may also be obtained from the Commission's website at www.nadc.nebraska.gov.
- B. Forms may be downloaded onto a computer hard drive, completed, printed, and mailed or otherwise sent to the Commission. A copy of the filing may be preserved on the hard drive of a computer for future reference.

Definitions

Business - means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, organization, self-employed individual, holding company, joint stock company, receivership, trust, activity or entity.

Business with which the individual is associated - means a business (1) in which the individual is a partner, Limited Liability Company Member, director, or officer, or (2) in which the individual or a member of the individual's immediate family is a stockholder of closed corporation stock worth one thousand dollars or more at fair market value or which represents more than a five percent equity interest, or is a stockholder of publicly traded stock worth ten thousand dollars or more at fair market value or which represents more than ten per cent equity interest. An individual who occupies a confidential professional relationship protected by law shall be exempt from this section. This section shall not apply to publicly traded stock under a trading account if the filer reports the name and address of the stockbroker.

Elective office - means a public office filled by an election, except for federal offices. A person who is appointed to fill a vacancy in a public office which is ordinarily elective holds an elective office.

Financial Institution - As used in section 49-1496, financial institution shall mean a bank or banking corporation as defined in section 8-101, a federal bank or branch bank, an insurance company providing a loan on an insurance policy, a small loan company, of a state or federal savings and loan association or credit union, the federal government or any political subdivision thereof.

Gift - means a payment, subscription, advance, forbearance, rendering or deposit of money, services, or anything of value, unless consideration of equal or greater value is given therefor. Gift shall not include a campaign contribution otherwise reported as required by law, a commercially reasonable loan made in the ordinary course of business, a gift received from a relative, a breakfast, luncheon, dinner or other refreshments consisting of food or beverage for immediate consumption, or the occasional provision of transportation within the State of Nebraska.

Relative - means any person related to another by blood or marriage to the third degree of consanguinity, including a foster parent, foster child, step-parent, stepchild, and adopted children and their adoptive parents.

Income - means any money or anything of value received, or to be received as a claim on future services, whether in the form of a fee, salary, expense, allowance, forbearance, forgiveness, interest, dividend, royalty, rent, capital gain, or any other form of recompense then constituting income under the Internal Revenue Code. You need not report a distribution of principal or the income of an irrevocable trust of a member of your immediate family.

Person - means a business, individual, proprietorship, firm, partnership, limited liability company, joint venture, syndicate, business trust, labor organization, company, corporation, association, committee, or any other organization or group of persons acting jointly.

Statutory Definitions: Sections 49-1407, 49-1408, 49-1417, 49-1423, 49-1425, 49-1426, 49-1438, 49-1497, Revised Nebraska Statutes.

Statutory Authority: Sections 49-1493 to 49-1497, Revised Nebraska Statutes.